



## Department of Treasury

Bureau of Alcohol, Tobacco, Firearms

Washington, DC 20226

December 12, 2000

### **Open Letter to All Vermont Federal Firearms Licensees — Notice of Amendment**

#### [Original Letter](#)

On October 26, 1998, the Bureau of Alcohol, Tobacco and Firearms (ATF) sent an open letter to all Vermont Federal firearms licensees advising you of your responsibilities under the permanent provisions of the Brady law. This letter advised you that the State of Vermont would act as the point of contact for background checks through the National Instant Criminal Background Check System (NICS) for all firearms transactions.

The State of Vermont has advised us that effective February 1, 2002, it will no longer act as a point of contact for NICS checks. We understand that there is a possibility that the Vermont Legislature may extend that date. If so, we will advise you of any changes. However, in the absence of any further legislation, the Federal Bureau of Investigation (FBI) will assume responsibility for conducting NICS checks for firearms transactions in Vermont on February 1, 2002.

Therefore, beginning February 1, 2002, in order to initiate a NICS check for any firearm, Vermont licensees must contact the NICS Operations Center of the FBI by dialing 1-877-FBI-NICS (1-877-324-6427). Your call will be answered by an automated menu that will allow you to select from several customer services including initiating a NICS background check.

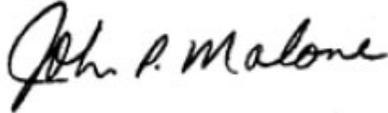
Licensees must be enrolled with the FBI before they can initiate NICS checks through the FBI's NICS Operations Center. Licensees who are not currently enrolled are advised to do so upon receipt of this letter. To enroll, call the NICS Operations Center at 1-877-444-NICS.

Also, as a reminder, you must comply with the following steps prior to transferring a firearm:

1. Have the transferee complete and sign ATF Form 4473, Firearms Transaction Record.
2. Verify the identity of the transferee through a government-issued photo identification document (for example, a driver's license).
3. Contact NICS. You will get either a "proceed," "denied," or "delayed" response from the system. If you get a "delayed" response and there is no additional response from the system, you may transfer the firearm after three business days have elapsed. Of course, you must still comply with any waiting period requirements under State law.

4. If you have initiated a NICS check for a proposed firearms transaction, but the transfer of the firearm is not completed, you must retain the Form 4473 in your records for a period of not less than 5 years. If the transfer is completed, the Form 4473 must be retained for at least 20 years.

All other information contained in the October 26, 1998, letter is correct. If you have any questions, please contact the ATF Brady Operations Branch at (866) 360-3418 and select "Brady Operations Branch" from the voice mail menu.

A handwritten signature in black ink that reads "John P. Malone". The signature is written in a cursive style with a large initial "J" and "M".

John P. Malone  
Assistant Director  
Firearms, Explosives and Arson



DEPARTMENT OF THE TREASURY  
BUREAU OF ALCOHOL, TOBACCO AND FIREARMS  
WASHINGTON, DC 20226

OCT 26 1998

**OPEN LETTER TO ALL VERMONT FEDERAL FIREARMS LICENSEES**

**Permanent Provisions of the Brady Law.** The purpose of this letter is to advise you of your responsibilities under the permanent provisions of the Brady law, 18 U.S.C. § 922(t). On November 30, 1998, the interim provisions of the Brady law will cease to apply, and the permanent provisions of the Brady law will take effect.

The permanent provisions of the Brady law provide for the establishment of a National Instant Criminal Background Check System (NICS) that licensees must contact before transferring any firearm to unlicensed individuals. While the interim provisions apply only to handguns, the permanent provisions of Brady will apply to all firearms. In addition, under permanent Brady there will no longer be an exemption for the redemption of a firearm from pawn.

**Notification from the Attorney General.** The United States Attorney General will notify licensees when the NICS is established. The Department of Justice (DOJ) has advised ATF that the Attorney General plans to publish a notice in the Federal Register during the last week of October, announcing the establishment of the NICS as of October 31, 1998. Accordingly, licensees will be required to comply with permanent Brady as of November 30, 1998. Copies of the Attorney General's Notice will be available on DOJ's Home Page on the Internet at <http://www.fbi.gov/programs/nics/index.htm>, and DOJ will mail copies of the notice to licensees.

**Initiation of NICS Checks.** The State of Vermont will act as the point of contact for NICS checks for all firearms transactions. To request a NICS check for any firearm transaction in the State of Vermont you should dial 1-800-291-1727.

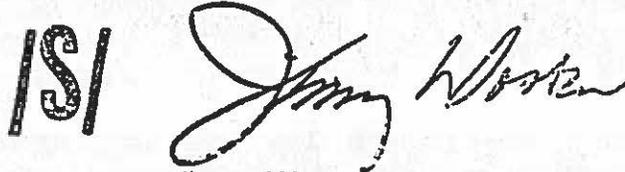
**Transfers Subject to NICS Check Requirement.** As of November 30, 1998, you will be required to initiate a NICS check prior to transferring a firearm to anyone who is not a licensee. The following steps must be followed prior to transferring a firearm:

1. Have the transferee complete and sign ATF Form 4473, Firearms Transaction Record.
2. Verify the identity of the transferee through a Government-issued photo identification (for example, a driver's license).
3. Contact NICS through the State of Vermont. You will get a response from the system advising you whether to proceed with the sale or to delay or deny the transaction. If you get a "delayed" response and there is no additional response from the system, you may transfer the firearm after three business days have elapsed. Of course, you must still comply with any waiting period requirements under State law.
4. If you have initiated a NICS check for a proposed firearms transaction, but the transfer of the firearm is not completed, you must retain the Form 4473 in your records for a period of not less than 5 years. If the transfer is completed, the Form 4473 must be retained for at least 20 years.

**Alternatives to a NICS Check.** The Brady law provides that certain permits may qualify as alternatives to a NICS check. However, there are no permits in your state that qualify as an alternative to a NICS check.

**Final Regulations and Forms 4473.** Final regulations implementing the permanent provisions of the Brady law will be published shortly by ATF. ATF has also modified ATF Form 4473, Firearms Transaction Record, to reflect the changes in the background check system. A copy of these regulations and a small supply of Forms 4473 will be mailed to each licensee under separate cover before November 30, 1998.

**Questions.** If you have any questions, please contact your local ATF office or the State of Vermont.

A stylized logo consisting of a vertical bar on the left and a large, bold letter 'S' on the right. To the right of the logo is a handwritten signature in cursive that reads "Jimmy Wooten".

Jimmy Wooten  
Assistant Director  
(Firearms, Explosives and Arson)