

EDDIE LEE KING 's FCI
FCI-29109
09/01/2022 03:55 PM
IOI (b)(6), Little Rock II (IO) Field Office

LICENSEE INFORMATION

Applicant Name
EDDIE LEE KING
(Sole Proprietor)
RDS Key
5-71-06113
License/Permit Number
5-71-071-01-3E-06113
License Type
01 - Dealer License
Business Type
Sole Proprietorship

Premises Address

Premises Ownership Type
Leased/Rented
Premises Location Type
Store Front
Address
142 WEST MAIN
Unit N/A
CLARKSVILLE, Arkansas 72830
JOHNSON
United States

Mailing Address

Same as Premises Address

Phone Type	Phone Number	Remarks
Business	+1 479-774-9660	

RECOMMENDATIONS

Final Decision
Revocation
Date
02/28/2022
Details

A Notice to Revoke was issued on 2/28/22. The licensee did not request a hearing in response to the notice. Based on the licensee not requesting a hearing, the license was revoked pursuant to 18 USC 923(e), 922(t)(5) or 924(p) with an effective date of 4/25/2022. The licensee mailed all records associated with this license to ATF's OOBRC. The records were received by the OOBRC on 4/25/2022. Recommend License Revoked.

Out of Business Records and Inventory Management

Licensee Records

Licensee Inventory

Deputy Assistant Director - Industry Operations Hans Hummel's Recommendation
Revocation
Details

I concur with the DIO's revised recommendation to proceed with revocation.

Special Agent in Charge Kurt Thielhorn's Recommendation

After review, I concur with DIO Close and DC that the minimum level has been met for revocation. However given the totality of the situation, to include this being the licensee's first compliance inspection, I believe an alternative action would be more appropriate.

Division Counsel (b)(6)'s Review
Details

Acting Division Counsel (b)(6) and Acting Associate Chief Counsel (b)(6) have reviewed the violations in this matter and believe that both the elements are met and there are no legal barriers to proceed forward with revocation based upon the nature of violations that includes NICS violations.

Director, Industry Operations Valentina Close's Recommendation
Revocation

DIO reviewed the inspection report and concurs that revocation is warranted based on current policy. The inspection findings disclosed nine violations, including one that merits revocation. Specifically, a violation of 27 CFR 102(a) for failure to conduct a NICS background check in [redacted] instances. Further investigation revealed that neither purchaser was/is prohibited.

Of note, this was the licensee's first compliance inspection since license issuance following a telephonic qualification inspection conducted under COVID-19 safety protocols. Additionally, a Warning Conference was held on August 12, 2021. The Warning Conference served to further educate the licensee on Federal firearms regulations and help with future compliance.

Due to the above-noted circumstances, DIO proposes a WARNING CONFERENCE as an alternate to revocation.

Area Supervisor [redacted] (b)(6)'s Recommendation

Revocation

Details

A compliance inspection was conducted on 6/30/21. The permittee was cited for nine violations of the GCA during the Closing Conference conducted on 7/8/2021. The compliance inspection is the first inspection of the licensee since issuance of the license on 4/21/2020 following a telephonic application inspection on 4/13/2020. As a result of the compliance inspection, the licensee attended a Warning Conference on 8/12/2021. However, a further review of the licensee's citation of 27 CFR 478.102(a) for failure to complete a NICS background check in two instances warrant a revocation based on the Administration's Comprehensive Strategy to Prevent and Respond to Gun Crime and Ensure Public Safety. Recommend Revocation.

Industry Operations Investigator [redacted] (b)(6)'s Recommendation

Revocation

Details

On 6/30/21, Investigator [redacted] (b)(6) conducted a Firearms Compliance Inspection with Eddie King, located in Clarksville, AR. The closing conference was conducted on 7/8/21. Mr. King was present for both the inspection and closing conference.

During the course of this inspection [redacted] ATF Form 4473s were reviewed. There was a large volume of errors found during this inspection. All were documented and listed under the Report of Violations. A review of the Acquisition and Disposition Record revealed five firearms were not properly disposed of. During the interview, it was determined Mr. King had not physically obtained the firearms but was anticipating them to be in his inventory. Mr. King was advised this was not the proper way to complete the A&D records. Mr. King acknowledged his wrongdoing and stated it would not happen again.

There were no firearms in inventory at the time of this inspection, therefore, no inventory was conducted. There was [redacted] Multiple Sale not completed during this inspection. Investigator [redacted] (b)(6) verified that was completed at the conclusion of this on-site portion. Investigator [redacted] (b)(6) found two Delayed No Response NICS results. Investigator [redacted] (b)(6) conducted a background check on both individuals and found they are not prohibited. One expired Arkansas Concealed Handgun was used to bypass background check. Investigator [redacted] (b)(6) conducted a background check on individual and found they are not prohibited. There was one unknown if background check was completed due to that portion of the 4473 being blank. Investigator [redacted] (b)(6) conducted a background check on that individual and found they are not prohibited.

At the closing conference, Investigator [redacted] (b)(6) reviewed all violations with Mr. King. Investigator [redacted] (b)(6) answered several questions Mr. King had after all violations were reviewed. Investigator [redacted] (b)(6) reviewed the Acknowledgement of Federal Firearms Regulations. Mr. King stated he understood and acknowledged the rules and regulations required to be followed by a Federal Firearms License.

As a result of obtaining an expired Arkansas Concealed Handgun License and failing to conduct a proper NICS check, a recommendation of revocation was suggested.

Inspection Findings

Records and Forms

3. Failure by the licensee to obtain complete and accurate information for any item(s) on Forms 4473, questions 11 & 12, or the buyer fails to sign and date the Form 4473 (to include failing to have the buyer recertify their answers if the transfer takes place on a different date than the original signature) in Section A on [redacted] percent or more of the Forms 4473 examined.

NICS

4. Failure to conduct a NICS check or obtain an alternate permit.

ELIGIBILITY VERIFICATION

Business Information Verification

Property Ownership Verification

Premises Ownership Type

Leased/Rented

Premises Location Type

Store Front

Has the property ownership been verified?

Yes

Address

142 WEST MAIN
Unit N/A
CLARKSVILLE, Arkansas 72830
JOHNSON
United States
Additional Findings
This property ownership has been verified utilizing arcountydata.com and shows to be owned by (b)(6).

Attachment(s):
Property Record.pdf
Trade Name/DBA Verification

Trade Name/DBA
KING AUCTION CO
Is the trade name/DBA registered?
Yes
Additional Findings
This is a sole proprietorship. It will be verified this has been registered with Johnson County.

Attachment(s):
DoingBusinessinAR.pdf
Rental/Lease Verification

Is the proposed business activity permitted by the property owner?
Yes
Additional Findings
Investigator (b)(6) made contact with property owner, (b)(6) (b)(6) stated this business activity is permitted.

Contact Information

Name
(b)(6)
Organization
Property Owner
Job Title
Property Owner
Date Contacted
06/28/2021

Phone Type	Phone Number	Remarks
Business	(b)(6)	

Attachment(s):
Zoning Information Verification

Is the proposed business activity in compliance with zoning?
Yes
Additional Findings
Investigator (b)(6) made contact with (b)(6), City Inspector for Clarksville, AR. (b)(6) stated this business activity is in compliance with zoning. (b)(6)

Contact Information

Name
(b)(6)
Organization
City of Clarksville
Job Title
City Inspector
Date Contacted
06/28/2021

Phone Type	Phone Number	Remarks
Business	(b)(6)	

Attachment(s):

APPOINTMENT DETAILS

Interview Date
06/30/2021
Address
142 WEST MAIN, Unit N/A, CLARKSVILLE, Arkansas 72830

Responsible Attendee(s)

EDDIE LEE KING

Non-Responsible Person(s)

No Items

RESPONSIBLE PERSON(S)

EDDIE LEE KING

Name
EDDIE LEE KING

Gender
Male
Race
White
Ethnicity
Not Hispanic or Not Latino
Date of Birth
(b)(6)
SSN
(b)(6)
Job Title
OWNER

Physical Identifiers

Height
(b)(6)
Weight
(b)(6)
Hair Color
(b)(6)
Eye Color
(b)(6)

Place of Birth

Country
United States Of America
State
(b)(6)
City
(b)(6)

Home Address

(b)(6)

United States

Additional Names

Citizenship

United States

ID Type	Country	State	ID Number
Driver's License	United States	Arkansas	(b)(6)
Phone Type	Phone Number	Remarks	
Mobile	(b)(6)		
Email Address	Email Remarks		
(b)(6)			

Criminal History Check

Date Criminal History Check Conducted
06/28/2021
Criminal History Check Comments
No criminal history found.

INTERVIEW QUESTIONNAIRE

What is the proposed business activity?
This applicant is strictly an auctioneer who does the occasional auctioning of firearms.
Do they need an additional license or permit?
No
Who are their primary suppliers?
Various suppliers

Business Activities

Retail
Selected Operational Security Measures
Controlled Access to ATF Recordkeeping
Controlled Access to Keys

SUPPLEMENTAL QUESTIONNAIRE

General Business Operations

If there is a security system, who has access to the security codes?
 There is a security system, to include security cameras. Eddie King has access to the security code.
 Who has keys to the premises?
 Eddie King
 Who has keys to locked inventory?
 Eddie King
 Who is operating the business on a day-to-day basis?
 Eddie King
 Is financial backing provided by anyone that is not a responsible person on the license/permit?
 No financial backing provided to Eddie King for this business.
 Are any employees known to be prohibited?
 No outside employees
 Are any employees associated with a previously denied/revoked/surrendered license/permit?
 No.

PREMISES INFORMATION

Inspection Area Description
 Single story commercial building.
 Primary Activity
 Retail

GPS Coordinates

Latitude
 35.47125
 Longitude
 -93.46411
 Selected Physical Security Measures

- Alarm Monitoring (with Cellular Back-Up)
- Deadbolts
- Safe(s)/Vault(s)
- Security Cameras

INTERVIEW NOTES

Contact was made with property owner on 7/7/21 who stated he does permit this business to operate at this location.

ONSITE SUMMARY

Total Number of ATF Form 4473s for Inspection Review Period

Total Number of ATF F 4473 Reviewed

Total Number of Open Dispositions in A & D Record

0

Total Number of Firearms in Inventory

0

Actual Number of Firearms Verified

Number of Firearms Missing Before Reconciliation

Total Number of Firearms Missing After Reconciliation

0

Total Number of Acquisitions in the Last 12 Months

(b)(4)

Total Number of Dispositions in the Last 12 Months

(b)(4)

Onsite Start Date

Onsite End Date

Number of Reported Lost/Stolen Firearms During Inspection Period

Total Number of Traces During Inspection Review Period

Total Number of Those Traces That Were Unresolved

Inspection Period Start Date

Inspection Period End Date

Number Of Traces Resolved By IOI

[Click Here to See List of Perfected Traces](#)

Additional Comments

There was one Multiple Sale not completed during this inspection. Investigator (b)(6) verified that was completed at the conclusion of this on-site portion.
 Delayed No Response NICS results. Investigator (b)(6) conducted a background check on (b)(6) individuals and found they are not prohibited.
 expired Arkansas Concealed Handgun was used to bypass background check. Investigator (b)(6) conducted a background check on individual and found they are not prohibited
 unknown if background check was completed due to that portion of the 4473 being blank. Investigator (b)(6) conducted a background check on that individual and found they are not prohibited.

Attachment(s)

- Report of Violations.pdf
- Report of Violations.pdf
- Report of Violations.pdf

REPORT OF VIOLATIONS

Regulation	Corrective Actions	Instance Details
<p>1</p> <p>27 CFR 478.126a: Failure to report multiple sales or other dispositions of pistols and revolvers</p> <p>ATF Forms 4473: [redacted] Number of Instances: [redacted]</p>	<p>Complete and submit ATF Form 3310.4 (Report of Multiple Sale or Other Disposition of Pistols and Revolvers) for all non-reported multiple sales identified as a result of this inspection. Complete and submit ATF Form 3310.4 (Report of Multiple Sale or Other Disposition of Pistols and Revolvers) by close of the same business day, for all applicable future multiple sales.</p>	<p>[redacted] (b)(3) (112 Public Law 55 125 Stat 552),(b)(6)</p>
<p>2</p> <p>27 CFR 478.102(a): Failure to complete a NICS/POC background check</p> <p>ATF Forms 4473: 2 Number of Instances: 2</p>	<p>Execute a required NICS/POC background check for all future over-the-counter firearm transactions. Retrieve and accurately record (on an ATF Form 4473) a required final NICS/POC background check response prior to all future over-the-counter firearm transactions.</p>	<p>NICS Violation: [redacted] (b)(6) 3/13/2021 NICS Violation: [redacted] (b)(6) 5/29/2021</p>
<p>3</p> <p>27 CFR 478.125(e): Failure to maintain an accurate/complete/timely acquisition and disposition record of firearms</p> <p>Number of Instances: [redacted]</p>	<p>Accurately, completely & timely record all required future firearm disposition information. Amend/Update A&D Record to accurately record all required firearm disposition information. Resume proper maintenance of required acquisition and disposition record.</p>	<p>[redacted] (b)(3) (112 Public Law 55 125 Stat 552)</p>
<p>4</p> <p>27 CFR 478.21(a): Failure to complete forms as prescribed</p> <p>ATF Forms 4473: [redacted] Number of Instances: [redacted]</p>	<p>Complete all forms as prescribed. Ensure that all ATF Form 4473 items, as required by form headings and instructions, are accurately completed on all future transactions. Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions. Ensure that the transferee/buyer provides required signature and date, on ATF Form 4473 Section C, for all transactions taking place on a date different from when Section A was certified. Ensure that the required transferor/seller printed name and title is obtained, validated and accurately recorded on all future ATF Forms 4473, Section D. Ensure that the most up-to-date version of the ATF Form 4473 is used for all future over-the-counter transactions. Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions.</p>	<p>[redacted] (b)(3) (112 Public Law 55 125 Stat 552),(b)(6)</p>

		(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)	
5	27 CFR 478.124(c)(1): Failure to obtain a completed ATF F 4473 ATF Forms 4473: [] Number of Instances: []	Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions. Ensure that the transferee/buyer provides required signature and date, on ATF Form 4473 Section C, for all transactions taking place on a date different from when Section A was certified. Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions.	(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)
6	27 CFR 478.124(c)(3)(i): Failure to verify or record Identification document on ATF F 4473 ATF Forms 4473: [] Number of Instances: []	Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions. Ensure that all required transferee/buyer identification information is obtained, validated and accurately recorded on all future ATF Forms 4473, Section B.	(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)
7	27 CFR 478.124(c)(4): Failure to record firearm information on an ATF F 4473 ATF Forms 4473: [] Number of Instances: []	Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions. Ensure that all required firearm identification information is obtained, validated and accurately recorded on all future ATF Forms 4473, Section D.	(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)
8	27 CFR 478.124(c)(3)(iv): Failure to record NICS contact information on an ATF F 4473 ATF Forms 4473: [] Number of Instances: []	Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions. Ensure that all required NICS/POC background check information is obtained, validated and accurately recorded on all future ATF Forms 4473, Section B.	(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)
9	27 CFR 478.124(c)(5): Failure by transferor to sign and/or date an ATF F 4473 ATF Forms 4473: [] Number of Instances: []	Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions. Ensure that the required transferor/seller signature and date of transfer is obtained, validated and accurately recorded on all future ATF Forms 4473, Section D.	(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)

LICENSEE RESPONSE REPORT

Regulation	Corrective Actions	Licensee Response	Status Details
1 27 CFR 478.126a: Failure to report multiple sales or other dispositions of pistols and revolvers ATF Forms 4473: [] Number of Instances: []	Complete and submit ATF Form 3310.4 (Report of Multiple Sale or Other Disposition of Pistols and Revolvers) for all non-reported multiple sales identified as a result of this inspection. Complete and submit ATF Form 3310.4 (Report of Multiple Sale or Other Disposition of Pistols and Revolvers) by close of the same business day, for all applicable future multiple sales.	"I agree, I didn't do it. I understand and it won't happen again."	Status Correction Verified Verified Method In Person Date Correction Verified 07/08/2021
2 27 CFR 478.102(a): Failure to complete a NICS/POC background check ATF Forms 4473: 2 Number of Instances: 2	Execute a required NICS/POC background check for all future over-the-counter firearm transactions. Retrieve and accurately record (on an ATF Form 4473) a required final NICS/POC background check response prior to all future over-the-counter firearm transactions.	"I just missed it."	Status Correction Verified Verified Method In Person Date Correction Verified 07/08/2021
27 CFR 478.125(e): Failure to maintain an accurate/complete/timely acquisition and disposition record of firearms	Accurately, completely & timely record all required future firearm disposition information. Amend/Update A&D Record to accurately record all required firearm disposition information.	"I didn't understand it."	Status Correction Verified Verified Method In Person

3	<p>Number of Instances: <input type="checkbox"/></p> <p>Resume proper maintenance of required acquisition and disposition record.</p>		<p>Date Correction Verified 07/08/2021</p>
4	<p>27 CFR 478.21(a): Failure to complete forms as prescribed</p> <p>ATF Forms 4473: <input type="checkbox"/></p> <p>Number of Instances: <input type="checkbox"/></p>	<p>Complete all forms as prescribed. Ensure that all ATF Form 4473 items, as required by form headings and instructions, are accurately completed on all future transactions. Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions. Ensure that the transferee/buyer provides required signature and date, on ATF Form 4473 Section C, for all transactions taking place on a date different from when Section A was certified. Ensure that the required transferor/seller printed name and title is obtained, validated and accurately recorded on all future ATF Forms 4473, Section D. Ensure that the most up-to-date version of the ATF Form 4473 is used for all future over-the-counter transactions. Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions.</p>	<p>"What I done is do it and them come back and change it later but I forgot." "It won't happen again."</p> <p>Status Correction Verified Verified Method In Person Date Correction Verified 07/08/2021</p>
5	<p>27 CFR 478.124(c)(1): Failure to obtain a completed ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/></p> <p>Number of Instances: <input type="checkbox"/></p>	<p>Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions. Ensure that the transferee/buyer provides required signature and date, on ATF Form 4473 Section C, for all transactions taking place on a date different from when Section A was certified. Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions.</p>	<p>"I missed it."</p> <p>Status Correction Verified Verified Method In Person Date Correction Verified 07/08/2021</p>
6	<p>27 CFR 478.124(c)(3)(i): Failure to verify or record Identification document on ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/></p> <p>Number of Instances: <input type="checkbox"/></p>	<p>Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions. Ensure that all required transferee/buyer identification information is obtained, validated and accurately recorded on all future ATF Forms 4473, Section B.</p>	<p>"I just missed it."</p> <p>Status Correction Verified Verified Method In Person Date Correction Verified 07/08/2021</p>
7	<p>27 CFR 478.124(c)(4): Failure to record firearm information on an ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/></p> <p>Number of Instances: <input type="checkbox"/></p>	<p>Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions. Ensure that all required firearm identification information is obtained, validated and accurately recorded on all future ATF Forms 4473, Section D.</p>	<p>"I just forgot it."</p> <p>Status Correction Verified Verified Method In Person Date Correction Verified 07/08/2021</p>
8	<p>27 CFR 478.124(c)(4)(iv): Failure to record NICS contact information on an ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/></p> <p>Number of Instances: <input type="checkbox"/></p>	<p>Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions. Ensure that all required NICS/POC background check information is obtained, validated and accurately recorded on all future ATF Forms 4473, Section B.</p>	<p>"I just forgot to write it down."</p> <p>Status Correction Verified Verified Method In Person Date Correction Verified 07/08/2021</p>
	<p>27 CFR 478.124(c)(5): Failure by transferor to sign and/or date an ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/></p> <p>Number of Instances: <input type="checkbox"/></p>	<p>Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions. Ensure that the required transferor/seller signature and date of transfer is obtained, validated and accurately recorded on all future ATF Forms 4473, Section D.</p>	<p>"I just forgot to."</p> <p>Status Correction Verified Verified Method In Person Date Correction Verified</p>

9			07/08/2021
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CLOSING CONFERENCE

(7/8/2021)
07/08/2021
Attachment(s)

Attendee(s)

EDDIE LEE KING

EXHIBITS

Inspection

Category	Attachment Name
Correspondence	Spartan Notification RE: 5-71-06113 Inspection Results Spartan Notification
Correspondence	RE: 5-71-06113 National Licensing Center
FinalDecision	Final NOR.pdf
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
Correspondence	Spartan Notification RE: 5-71-06113 Special Attention Flag (SAF)
Correspondence	Spartan Notification RE: 5-71-06113 Special Attention Flag (SAF)
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
UpdateLicensingCenter	NOR - Eddie King (issued 2-28-22 - Mailed 3-3-22).pdf
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
UpdateLicensingCenter	Signed 8c (Amended FINAL) - Eddie King FCI-21019-1 1-11-22.pdf
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
UpdateLicensingCenter	8c (FINAL) - Eddie King FCI-21019-1 1-4-22.pdf
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
Correspondence	Spartan Notification RE: 5-71-06113 Monitored Case
UpdateLicensingCenter	Exhibit 8c (Initial) - Eddie King FCI-21019.docx
Correspondence	Spartan Notification RE: 5-71-06113 Inspection Results Warning Conference

WarningConferenceFollowUpEmailConfirmationConfirmation.pdf	
Correspondence	
Correspondence	Action required concerning your Federal Firearms License
WarningConferenceFollowUpLetter	Follow Up Letter.pdf
WarningConferenceAttachment	Signed Warning Conference Letter.pdf
WarningConferenceScheduleReturnEmail	Firearms Compliance Inspection FCI-29109.pdf
Correspondence	
Correspondence	Action required concerning your Federal Firearms License
ViolationsPDF	Report of Violations.pdf
WarningConferenceSchedulingLetter	Scheduling Letter.pdf
Correspondence	Information Concerning Your Federal License/Permit
LicenseeResponseToViolationsPDF	Licensee Response to Violations Report.pdf
Correspondence	Information Concerning Your Federal License/Permit
Correspondence	
ViolationsPDF	Report of Violations.pdf
ViolationsPDF	Report of Violations.pdf
ClosingConferenceSummary	Acknowledgment of Regulations.pdf
ViolationsPDF	Report of Violations.pdf
TradeNameVerification	DoingBusinessinAR.pdf
PropertyOwnershipVerification	Property Record.pdf
ApplicationPDF	FLS 57106113.pdf

License

Category	Attachment Name
Correspondence	Spartan Notification RE: 5-71-06113 National Licensing Center
UpdateLicensingCenter	NOR (Final) - Eddie Lee King (signed mailed 3-29-22) amended.pdf

Notice to Revoke or Suspend License and/or Impose a Civil Fine

In the matter of License Number 5-71-071-01-3E-06113, as a/an
firearms dealer issued to:

Name and Address of Licensee (Show number, street, city, State and ZIP Code)
Eddie Lee King
d/b/a King Auction Co.
142 West Main
Clarksville, Arkansas 72830

Notice Is Hereby Given That:

Pursuant to the statutory provisions and reasons stated in the attached page(s), the Director or his/her designee, Bureau of Alcohol, Tobacco, Firearms and Explosives, intends to take action on the license described above.

- The above identified license may be revoked pursuant to 18 U.S.C. 923(e), 922(t)(5) or 924(p).
- The above identified license may be suspended pursuant to 18 U.S.C. 922(t)(5) or 924(p).
- The above identified licensee may be fined pursuant to 18 U.S.C. 922(t)(5) or 924(p).

Pursuant to U.S.C. 923(f)(2) and/or 922(t)(5), you may file a request with the Director of Industry Operations, Bureau of Alcohol, Tobacco, Firearms and Explosives, at 1 Galleria Boulevard, Suite 1700, Metairie, LA 70001, in duplicate, for a hearing to review the revocation, suspension and/or fine of your license. The request must be received at the above address within 15 days of your receipt of this notice. Where a timely request for a hearing is made, the license shall remain in effect pending the outcome of the hearing; and if the license is due to expire, the license will remain in effect provided a timely application for renewal is also filed. The hearing will be held as provided in 27 CFR Part 478.

If you do not request a hearing, or your request for a hearing is not received by ATF on time, a final notice of revocation, suspension, and/or imposition of civil fine (ATF Form 5300.13) shall be issued.

- Please see included brochure

Date 02/28/2022	Name and Title of Bureau of Alcohol, Tobacco, Firearms and Explosives Official Valentina Close, Director, Industry Operations, New Orleans Field Division	Signature VALENTINA CLOSE <small>Digitally signed by VALENTINA CLOSE Date: 2022.02.28 15:56:01 -0600</small>
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I certify that on the date shown below I served the above notice on the person identified below by:

- Certified mail to the address shown below.
Tracking Number 7020 1290 0000 6958 5679
- Delivering a copy of the notice to the address shown below.

Date Notice Served 03/02/2022	Title of Person Serving Notice Senior Operations Officer	Signature of Person Serving Notice (b)(6)
Print Name and Title of Person Served Eddie Lee King d/b/a King Auction Co.		Signature of Person Served (if applicable)

Address Where Notice Served
142 West Main Clarksville, Arkansas 72830

Under the provisions of 18 U.S.C. § 923(e) and 27 C.F.R. § 478.73, notice is hereby given that that the Bureau of Alcohol, Tobacco, Firearms and Explosives ("ATF") intends to revoke the Federal firearms license held by Eddie Lee King, d/b/a King Auction Co., ("Licensee"), a Dealer in Firearms Other Than Destructive Devices. Specifically, the Director, Industry Operations, United States Department of Justice, ATF, New Orleans Field Division, has reason to believe that Licensee willfully violated the provisions of the Gun Control Act of 1968, as amended, 18 U.S.C. Chapter 44, and the regulations issued thereunder, 27 C.F.R. Part 478 (collectively, "GCA") as described herein.

Compliance History

ATF first issued a Federal firearms license to Licensee in 2020. The laws and regulations issued under the GCA were reviewed as part of the application inspection.

Current Inspection

On June 30, 2021, ATF began a compliance inspection of Licensee's premises that revealed the following:

1. On two occasions, Licensee willfully transferred a firearm to an unlicensed person without first contacting the National Instant Criminal Background Check System ("NICS") and obtaining a unique identification number before allowing the transfer, in violation of 18 U.S.C. § 922(t) and 27 C.F.R. § 478.102. See Appendix 1.
2. On occasion, Licensee willfully failed to timely and/or accurately report the sale or other disposition of two or more pistols and/or revolvers during any five consecutive business days to an unlicensed person, in violation of 18 U.S.C. § 923(g)(3)(A) and 27 C.F.R. § 478.126a. See Appendix 2.
3. On occasions, Licensee willfully transferred a firearm to a non-licensee without recording the date the Licensee contacted the NICS, any response provided by the system and/or any identification number provided by the system information on the Firearms Transaction Record, ATF Form 4473, in violation of 18 U.S.C. § 923(g)(1)(A) and 27 C.F.R. § 478.124(c)(3)(iv). See Appendix 3.
4. On occasions, Licensee willfully failed to obtain/execute the Firearms Transaction Record, ATF Form 4473 as indicated by the headings on the Form and the instructions on or pertaining to the Form, in violation of 18 U.S.C. § 922(m) and 27 C.F.R. § 124(c) and 478.21(a). See Appendix 4.

Eddie Lee King
d/b/a King Auction Co.
FFL # 5-71-071-01-3E-06113

ATF Form 5300.4 (4500)
Page 3

5. On occasions, Licensee willfully failed to timely and/or accurately record the disposition of firearms, in violation of 18 U.S.C. § 923(g)(1)(A) and 27 C.F.R. § 478.125(e). See Appendix 5.

Appendix 1

- | | | |
|---|---------------|-----------|
| 1 | (b)(6) | 3/13/2021 |
| 2 | | 5/29/2021 |

Appendix 2

- | | | |
|----|--|-----------|
| 1. | (b)(3) (112 Public Law 55 125 Stat 552),(b)(6) | 3/13/2021 |
|----|--|-----------|

Appendix 3

(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)

Appendix 4

(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)

(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)

Appendix 5

(b)(3) (112 Public Law 55 125 Stat 552)

**Final Notice of Denial of Application, Revocation
Suspension and/or Fine of Firearms License**

In the matter of:

The application for license as a/an _____, filed by:

or

License Number 5-71-071-01-3E-06113 as a/an

as a dealer in firearms, issued to:

Name and Address of Applicant or Licensee (Show number, street, city, state and Zip Code)

Eddie Lee King
d/b/a King Auction Co.
142 West Main
Clarksville, Arkansas 72830

Notice is Hereby Given That:

A request for hearing pursuant to 18 U.S.C. § 923(f)(2) and/or 922(t)(5) was not timely filed. Based on the findings set forth in the attached document, your

license described above is revoked pursuant to 18 U.S.C. 923(e), 922(t)(5) or 924(p), effective:

15 calendar days after receipt of this notice, or April 25, 2022,

license is suspended for _____ calendar days, effective _____, pursuant to 18 U.S.C. § 922(t)(5) or 924(p).

licensee is fined \$ _____, payment due: _____, pursuant to 18 U.S.C. § 922(t)(5) or 924(p).

After due consideration following a hearing held pursuant to 18 U.S.C. § 923(f)(2) and/or 922(t)(5), and on the basis of findings set out in the attached copy of the findings and conclusions, the Director or his/her designee concludes that your

application for license described above is denied, pursuant to 18 U.S.C., 923(d).

application for renewal of license described above is denied pursuant to 18 U.S.C. 923(d), effective:

15 calendar days after receipt of this notice, or _____

license described above is revoked pursuant to 18 U.S.C., 923(e), 922(t)(5) or 924(p), effective:

15 calendar days after receipt of this notice, or _____

license is suspended for _____ calendar days, effective _____, pursuant to 18 U.S.C. § 922(t)(5) or 924(p).

licensee is fined \$ _____, payment due: _____, pursuant to 18 U.S.C. § 922(t)(5) or 924(p).

If, after the hearing and receipt of these findings, you are dissatisfied with this action you may, within 60 days after receipt of this notice, file a petition pursuant 18 U.S.C. § 923(f)(3), for judicial review with the U.S. District Court for the district in which you reside or have your principal place of business. If you intend to continue operations after the effective date of this action ~~and~~ you pursue filing for judicial review or otherwise, you must request a stay of the action from the Director of Industry Operations (DIO), Bureau of Alcohol, Tobacco, Firearms and Explosives, at _____

prior to the effective date of the action set forth above. You may not continue licensed operations unless and until a stay is granted by the DIO.

Records prescribed under 27 CFR Part 478 for the license described above shall either be delivered to ATF within 30 days of the date the business is required to be discontinued or shall be documented to reflect delivery to a successor. See 18 U.S.C. 923(g)(4) and 27 CFR § 478.127.

After the effective date of a license denial of renewal, revocation, or suspension, you may not lawfully engage in the business of dealing in firearms. Any disposition of your firearms business inventory must comply with all applicable laws and regulations. Your local ATF office is able to assist you in understanding and implementing the options available to lawfully dispose of your firearms business inventory.

Date 03/29/2022	Name and Title of Bureau of Alcohol, Tobacco, Firearms and Explosives Official Valentina Close, Director, Industry Operations, New Orleans Field Division	Signature VALENTINA CLOSE <small>Digitally signed by VALENTINA CLOSE Date: 2022.03.29 11:37:18 -0500</small>
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I certify that, on the date below, I served the above notice on the person identified below by:

- Certified mail to the address shown below. **7021 2720 0002 8784 7186**
 Delivering a copy of the notice to the address shown below.

Date Notice Served 03/29/2022	Title of Person Serving Notice Senior Operations Officer	Signature of Person Serving Notice (b)(6)
Print Name and Title of Person Served Eddie Lee King d/b/a King Auction Co.		Signature of Person Served

Address Where Notice Served
142 West Main, Clarksville, Arkansas 72830

Note: Previous Edition is Obsolete

Eddie Lee King, d.b.a. King Auction Co. ("Licensee") holds a Federal firearms license #5-71-071-01-3E-06113 issued by the Bureau of Alcohol, Tobacco, Firearms and Explosives ("ATF") pursuant to the Gun Control Act of 1968 ("GCA"), as amended, 18 U.S.C. Chapter 44, and the regulations issued thereunder, 27 C.F.R. Part 478 (collectively GCA).

On February 28, 2022, ATF issued a Notice to Revoke or Suspend and/or Impose Civil Fine, ATF Form 5300.4 (4500), to Licensee. The Notice was mailed with the United States Post Service (USPS) by certified mail, return receipt requested. The USPS delivered the notice on March 10, 2022.

The Notice alleged Licensee willfully violated the provisions of the GCA. The Notice further specified that if Licensee wanted a hearing to review the notice, a request with ATF for such hearing should be filed within 15 days after receipt of the notice and in accord with 18 U.S.C. § 923(f)(2) and 27 C.F.R. § 478.73(b).

No request for hearing was timely filed or received.

For the reasons set forth in the Notice of Revocation, the Federal firearms license held Eddie Lee King, d.b.a. King Auction Co is hereby REVOKED.