

DIXIE PRECISION RIFLES LLC's FCI

FCI-15995

05/05/2022 11:41 AM

IOI (b)(6), Jackson II (IO) Field Office

**LICENSEE INFORMATION**

Licensee Name DIXIE PRECISION RIFLES LLC	RDS Key 1-64-02616	License/Permit Number 1-64-121-07-0A-02616	License Type 07 - Manufacturer of Firearms License
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Business Type  
Limited Liability Company (LLC)

**Premises Address**

**Mailing Address**

Premises Ownership Type  
Leased/Rented

Same as Premises Address

Premises Location Type  
Store Front

Address  
129 FAIRMONT PLAZA  
Unit N/A  
PEARL, Mississippi 39208  
RANKIN United States

Phone Type	Phone Number	Remarks
Fax	+1 601-856-9567	
Business	+1 601-936-4200	Daytime

Email Address	Email Remarks
(b)(6)	

Online Presence Type	Online Presence URL	Remarks
Facebook	https://m.facebook.com/DixiePrecisionRifles/	
Website	dproutdoor.com	
Twitter	@Dprifles	

**RECOMMENDATIONS**

Final Decision

Revocation

Date

05/05/2022

Details

On December 7, 2021, Area Supervisor (b)(6) reviewed the investigative report and recommends REVOCATION. Initial MCBP was completed by Area Supervisor and forwarded via email to DIO for review on December 6, 2021.

AREA SUPERVISOR RECOMMENDATION:

REVOCATION of Firearms License/Permit. Place FLS Attention Flag on (b)(6), RP

Director of Industry Operations (DIO) Valentina Close reviewed the investigative report and concurs with recommendation of REVOCATION. MCBP and assignment were forwarded to NOFD Division Counsel for review.

NOFD Division Counsel (DC) reviewed the investigative report and concurs with recommendation of REVOCATION with one violation in need of amendment on the ROV.

On January 12, 2022, the assignment was returned to the IOI with instructions to "amend" the ROV with changes per NOFD Counsel. On January 21, 2022, the ROV was amended by the IOI and a copy of the amended ROV was sent via email to the RP for signature. The IOI called to confirm receipt of the amended ROV and left a message for the RP to please confirm receipt. As of January 27, 2022, no response or contact had been received from (b)(6) RP concerning the receipt of the Amended ROV.

On January 27, 2022, per Area Supervisor (b)(6) instructions, IOI (b)(6) "hand delivered" a copy of the Amended ROV to the business premises. Mrs. (b)(6) RP "was not" present at the business premises. The Amended ROV was received by (b)(6) Manager of Dixie Precision Rifles, LLC with instructed from IOI (b)(6) to make sure (b)(6) RP received the Amended ROV. These actions were documented, and a copy of the documentation was uploaded into SPARTAN as an attachment.

As of January 28, 2022, there has been no contact with (b)(6) RP. The amended ROV remains "Unsigned". Per Area Supervisor Instructions the assignment has been re-submitted in SPARTAN for review.

AREA SUPERVISOR RECOMMENDATIONS:

ROV Amended per NOFD Counsel recommendation.

REVOCATION of Firearms License/Permit.  
Place FLS Attention Flag on (b)(6), RP

Director of Industry Operations (DIO) Valentina Close reviewed the investigative report and concurs with recommendation of REVOCATION. MCBP and assignment were forwarded to NOFD Division Counsel for review.

NOFD Division Counsel (DC) reviewed the investigative report and concurs with recommendation of REVOCATION. ATF HQ reviewed the investigative report and concurred with recommendation of REVOCATION.

On March 29, 2022, DIO NOFD issued a Final Notice of Revocation via USPS certified mail and email. No contact or appeal was heard from the Licensee and no hearing was requested.

The federal firearms license is hereby revoked, effective April 25, 2022. Monitoring under MCP has been suspended. On May 5, 2022, Area Supervisor Closed out the Assignment in SPARTAN and notified FFLC of final Action.

FINAL ACTION:  
REVOCATION of Firearms License/Permit.  
REVOKED

(b)(7)(E) on PLACE FFL in "Inactive" Status as (b)(6) RP

**Out of Business Records and Inventory Management**

**Licensee Records**

**Licensee Inventory**

Deputy Assistant Director - Industry Operations Hans Hummel's Recommendation  
Revocation

Details

Concur with Field Division recommendation to proceed with revocation.

Special Agent in Charge's Recommendation

Concur with DIO Close and DC (b)(6)

Division Counsel (b)(6) Review

Details

Review complete.

Director, Industry Operations Kurt Thielhorn's Recommendation  
Revocation

Details

DIO has reviewed the inspection report and concurs with the IOI and Area Supervisor's proposed REVOCATION recommendation. The inspection findings revealed a total of 18 violations, to include a violation of 27 CFR 478.102(a) - Failure to complete a NICS background check in two instances. This is a repeat violation to one cited during a prior inspection in 2015 that resulted in a Warning Conference. Additionally, the current inspection disclosed a violation of 27 CFR 478.25a - failure to respond to an ATF Tracing Request within the required time frame in instance.

In addition to the above-noted violations, the inspection disclosed numerous repeat violations, cited during previous inspections conducted in 2015, 2016 and 2018, and resulting in some form of administrative action. The repeated errors include violations of 27 CFR 478.123(d), 478.123(a), 478.124(c)(1), 478.21(a), 478.124(c)(3)(i), 478.124(c)(3)(iv), 478.124(c)(4), 478.131(a)(2), 478.124(c)(5), and 478.126(a).

Of note, the investigation identified firearms missing from inventory after reconciliation and (b)(3)(26 USC § 6103)

DIO recommends REVOCATION.

Area Supervisor (b)(6) Recommendation  
Revocation

Details

REVOCATION:

This On-Site investigation occurred from August 17, 2021, to November 9, 2021.

During the firearms compliance investigation, the licensee was cited for fourteen (14) violations of the GCA and (b)(3)(26 USC § 6103) The ROV was issued and reviewed with the licensee who received a copy during the closing conference on November 9, 2021.

IOI (b)(6) and SIOI (b)(6) disclosed the following violations, which, per the current Adverse Action Policy is cause for "Immediate" Revocation under 18 U.S.C. 923(e):

27 CFR 478.25a - failure to respond to an ATF Tracing Request within the required time frame - instance.

27 CFR 478.102(a) - failure to complete a NICS/POC background Check - two (2) instances and a repeat violation from compliance investigation 777070-2015-0123 with six (6) instances.

In addition, there were multiple violations that were "repeat" violations that were cited during three (3) previous compliance investigations 777070-2015-0123 (2015), 777070-2016-0279 (2017) and 777070-2018-0235 (2018).

IOI (b)(6) disclosed the following "Repeat" violations of a willful nature, which are cause for Revocation under 18 U.S.C. 923(e):

27 CFR 478.123(d) - Failure to maintain /complete/timely disposition record of firearms (Manufacturers) - instances and a repeat violation from 777070-2018-0235 (2018) and compliance investigation 777070-2015-0123 (2015).

Licensee had missing firearms as a result of this investigation (REPEAT).

Licensee had missing firearms after Inventory reconciliation during the 2015 Investigation (777070-2015-0123). In addition, the licensee had missing firearms after Inventory reconciliation during the 2018 Investigation (777070-2018-0235).

27 CFR 478.123(a) - Failure to maintain /complete/timely acquisition record of firearms (Manufacturers)- instances and a repeat violation from 777070-2015-0123 with instances and compliance investigation 777070-2018-0235 (2018) with instances.

27 CFR 478.124(c)(1) - Failure to Obtain a completed ATF F 4473 - instances and a repeat violation from 777070-2015-0123 with instances, 777070-2016-0279 with instances and compliance investigation 777070-2018-0235 with instance.

27 CFR 478.21(a) - Failure to complete forms as prescribed - (b)(3) (112 Public Law 55 125 Stat 552) instances and a repeat violation from 777070-2015-0123 with instances, 777070-2018-0235 with instances and compliance investigation 777070-2016-0279 with instances.

27 CFR 478.124(c)(3)(i) - Failure to verify or record Identification document on an ATF F 4473 - instances and a repeat violation from 777070-2015-0123 with instances and compliance investigation 777070-2018-0235 with instance.

27 CFR 478.124(c)(3)(iv) - Failure to record NICS contact information on an ATF F 4473. - instances and a repeat violation from 777070-2015-0123 with instances and compliance investigation 777070-2018-0235 with instances.

27 CFR 478.124(c)(4) - Failure to record firearm information on an ATF F 4473. - instances and a repeat violation from 777070-2015-0123 with instances and compliance investigation 777070-2018-0235 with instances.

27 CFR 478.131(a)(2) - Failure to record on an ATF Form 4473 (or affix a copy of) NICS alternative permit info. - instance and a repeat violation from 777070-2015-0123 with instances and compliance investigation 777070-2018-0235 with instances.

27 CFR 478.124(c)(5) - Failure by Transferor to sign and/or date an ATF F 4473. - instances and a repeat violation from compliance investigation

27 CFR 478.126(a) – Failure to report the sale or other dispositions of pistols and revolvers. [redacted] instance and a repeat violation from compliance investigation 777070-2015-0123 with [redacted] instances.

**(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

Finally, there has been no real change in the behavior or daily business operations, going back to the first compliance inspection in 2015; that could be considered as showing any improvement. During this inspection, the licensee acted very “nonchalant” regarding her business operations when asked about missing firearms, ATF F 4473s, NFA Transactions or NICs Background checks.

Area Supervisor Warning Conferences were held with the licensee at the Jackson Field Office on one prior investigations on the following date:

August 4, 2015- Conducted by NOFD Jackson II Area Supervisor [redacted] (b)(6) (Reference NSPECT: 777070-2015-0123 (4/13/2015 to 5/19/2015) IOIs [redacted] (b)(6) / WC)

Warning Letters due to the ROV were issued to the licensee on two prior investigations. (Reference NSPECT: 777070-2016-0279 (5/31/2016 to 6/8/2016) IOI [redacted] (b)(6) / WL) (Reference NSPECT: 777070-2018-0235 (6/5/2018 to 8/24/2018) IOIs [redacted] (b)(6) / WL)

NOFD Division Counsel (DC) reviewed the investigative report and concurs with recommendation with one violation in need of amendment on the ROV.

On January 12, 2022, the assignment was returned to the IOI with instructions to “amend” the ROV with changes per NOFD Counsel. On January 21, 2022, the ROV was amended by the IOI and a copy of the amended ROV was sent via email to the RP for signature. The IOI called to confirm receipt of the amended ROV and left a message for the RP to please confirm receipt. As of January 27, 2022, no response or contact had been received from [redacted] (b)(6) RP concerning the receipt of the Amended ROV.

On January 27, 2022, per Area Supervisor [redacted] (b)(6) instructions, IOI [redacted] (b)(6) hand delivered” a copy of the Amended ROV to the business premises [redacted] (b)(6) RP “was not present at the business premises. The Amended ROV was received by [redacted] (b)(6) Manager of Dixie Precision Rifles, LLC with instructed from IOI [redacted] (b)(6) to make sure [redacted] (b)(6) RP received the Amended ROV. These actions were documented, and a copy of the documentation was uploaded into SPARTAN as an attachment.

As of January 28, 2022, there has been no contact with [redacted] (b)(6) RP. The amended ROV remains “Unsigned”. Per Area Supervisor Instructions the assignment has been re-submitted in SPARTAN for review.

**AREA SUPERVISOR RECOMMENDATIONS:**

ROV Amended per NOFD Counsel recommendation. REVOCATION of Firearms License/Permit. Place FLS  
Attention Flag on [redacted] (b)(6) RP  
Industry Operations Investigator [redacted] (b)(6) Recommendation  
Revocation

**Details**

This was a RECALL Inspection with the onsite investigation occurring August 17, 2021 to November 9, 2021.

During the firearms compliance investigation, the Licensee was cited for fourteen (14) violations of the GCA and (b)(3)(26 USC § 6103) The ROV was issued and reviewed with the licensee who received a copy during the closing conference on November 9, 2021.

During this investigation, IOI [redacted] (b)(6) and SIO [redacted] (b)(6) disclosed the following violations which are cause for Revocation per the Memo for Administration Strategy Implementation dated July 14, 2021.

27 CFR 478.102(a)-Failure to complete a NICS/POC background check (2 instances).

In addition, IOI [redacted] (b)(6) and SIO [redacted] (b)(6) disclosed the following violations of a willful nature, which are cause for Revocation under 18 U.S.C. 923(e):

These were multiple violations that were “repeat” violations that were cited during previous compliance inspections from 2015, 2016 and 2018.

The following are REPEAT VIOLATIONS from previous inspections:

- 27 CFR 478.102(a)- Failure to complete a NICS/POC background check (2 instances). Repeat of Violation Cited May 19, 2015.
- 27 CFR 478.126a- Failure to report multiple sales or other dispositions of pistols and revolvers [redacted] (b)(6) instance). Repeat of Violation Cited May 19, 2015.
- 27 CFR 478.21(a)- Failure to complete forms as prescribed [redacted] (b)(6) instances). Repeat of Violation Cited May 19, 2015, June 8, 2016 and August 24, 2018
- 27 CFR 478.123(d)- Failure to maintain an accurate/complete/timely nonlicensee disposition record [redacted] (b)(6) instances). Repeat of Violation Cited May 19, 2015, June 8, 2016 and August 24, 2018.
- 27 CFR 478.123(a)- Failure to maintain an accurate/complete/timely manufacture or acquisition record [redacted] (b)(6) instances). Repeat of Violation Cited May 19, 2015 and August 24, 2018.
- 27 CFR 478.124(c)(1)- Failure to obtain a completed ATF F 4473 [redacted] (b)(6) instances). Repeat of Violation Cited May 19, 2015, June 8, 2016 and August 24, 2018.
- 27 CFR 478.124(c)(3)(i)- Failure to verify or record Identification document on ATF F 4473 [redacted] (b)(6) instances). Repeat of Violation Cited May 19, 2015 and August 24, 2018.
- 27 CFR 478.124(c)(3)(iv)- Failure to record NICS contact information on an ATF F 4473 [redacted] (b)(6) instances). Repeat of Violation Cited May 19, 2015 and August 24, 2018.
- 27 CFR 478.131(a)(2)- Failure to record on an ATF Form 4473 (or affix a copy of) NICS alternative permit info [redacted] (b)(6) instances). Repeat of Violation Cited May 19, 2015 and August 24, 2018.
- 27 CFR 478.124(c)(4)- Failure to record firearm information on an ATF F 4473 [redacted] (b)(6) instances). Repeat of Violation Cited May 19, 2015 and August 24, 2018.
- 27 CFR 478.124(c)(5)- Failure by transferor to sign and/or date an ATF F 4473 [redacted] (b)(6) instances). Repeat of Violation Cited May 19, 2015.

\*\* Reference NSPECT: 777070-2018-0235-B1B-June 5, 2018-August 24, 2018-SIOI [redacted] (b)(6) Violations, Warning Letter Only and Recall Inspection.  
NSPECT: 777070- 2016-0279-B1B- May 31, 2016-June 8, 2016 -IOI [redacted] (b)(6) Warning Letter Only and No Recall Inspection.  
NSPECT: 777070-2015-0123-BB- April 13, 2015-May 19, 2015-IOI [redacted] (b)(6) Warning Conference with Warning Letter and Recall

**Inspection Findings**

**Records and Forms**

- 3. Failure by the licensee to obtain complete and accurate information for any item(s) on Forms 4473, questions 11 & 12, or the buyer fails to sign and date the Form 4473 (to include failing to have the buyer recertify their answers if the transfer takes place on a different date than the original signature) in Section A on [redacted] percent or more of the Forms 4473 examined.
- 4. Failure to record valid and complete transferee identification (ID) on [redacted] percent or more of the Forms 4473 examined.
- 5. Failure to record any transferee identification (ID) on [redacted] percent or more of the Forms 4473 examined.

9. Failure to execute a Form 4473 (Exception: Select findings 6, 7, and 8 above for consignment sales, transfers to law enforcement officers for personal use, and FFL responsible persons; if the transferee is NOT prohibited).

12. Failure to account for acquired firearms which records indicate were in inventory within the previous 5 years after reconciliation for which disposition could not be accounted for in required GCA records (e.g. acquisition and disposition record, F 4473) and is a repeat violation of increased frequency.

### Transfer of Firearm

3. Transfer of any firearm (including lower receiver) other than Rifle / Shotgun to a resident of another State.

### Firearms

1. Missing firearms after inventory reconciliation (e.g., no records of disposition, required or otherwise).

### NICS

4. Failure to conduct a NICS check or obtain an alternate permit.

7. Failure to record NICS or Point of Contact background check information of [redacted] percent or more of Forms 4473 examined, with a minimum of [redacted] instances.

### Failure to Report

1. Failure to file Reports of Multiple Sale or Other Disposition of Pistols and Revolvers (F 3310.4) or Reports of Multiple Sales or Dispositions of Certain Rifles (F 3310.12) (Southwest Border states only) when legally required and with a minimum of [redacted] instances.

6. Failure to respond to a firearm trace request within 24 hours.

### FFL History

1. The current inspection reveals repeated similar violation(s) for which the FFL has been cited in a Report of Violations within the previous 5 years and there has been NO significant improvement.

2. The current inspection reveals repeated similar violation(s) for which the FFL has previously been the subject of a Warning Letter or Warning Conference within the previous 5 years and there has been NO significant improvement.

## ELIGIBILITY VERIFICATION

### Business Information Verification

Licensee Name	Business Type	Is the business valid?
DIXIE PRECISION RIFLES LLC	Limited Liability Company (LLC)	Yes

### Additional Findings

In good standing with MS SOS

Update-Dixie Precision Rifles LLC has not filed an annual report with the State of Mississippi. Intent to dissolve LLC notice sent to Dixie Precision Rifles LLC by the MS Secretary of State.

Per AS [redacted] licensee was given two weeks to be in compliance with MS SOS (deadline November 23, 2021).

11/17/21-a review of the MS SOS website shows that the business is in good standing.

### Attachment(s):

Updated SOS-Dixie Precision Rifles LLC 11-17-21.pdf

Updated-Dixie Precision Rifles LLC SOS Information.pdf

Dixie Precision Rifles LLC SOS.pdf

### Property Ownership Verification

Premises Ownership Type	Premises Location Type	Has the property ownership been verified?
Leased/Rented	Store Front	Yes

### Address

129 FAIRMONT PLAZA  
Unit N/A  
PEARL, Mississippi 39208  
RANKIN United States

### Additional Findings

Per [redacted] (b)(6) with the City of Pearl, the property is owned by [redacted] (b)(4)

On November 30, 2021, IOI [redacted] (b)(6) spoke with [redacted] (b)(6) of [redacted] (b)(4). The agency is the company that acts on behalf of the [redacted] (b)(4) and

handles all leases and payments. (b)(6) verified that the lease agreement submitted by Dixie Precision Rifles LLC is valid and does not expire until until May 30, 2022. (b)(6) (b)(6) informed IOI (b)(6) that (b)(4) has taken over the property and has not issued a new lease agreement and will probably not do so until the current lease expires.

**Contact Information**

Name (b)(6) Date Contacted 10/13/2021  
Organization  
City of Pearl  
Job Title  
Community Development

Phone Type	Phone Number	Remarks
Business	(b)(6)	

Attachment(s):

**Trade Name/DBA Verification**

Trade Name/DBA DIXIE PRECISION RIFLES LLC Is the trade name/DBA registered? No

Additional Findings  
Trade names are not required to be registered in MS

**Contact Information**

Name (b)(6) Date Contacted 07/09/2021  
Organization  
MS Secretary of State  
Job Title  
Secretary of State

Phone Type	Phone Number	Remarks
Business	(b)(6)	

Attachment(s):

**Rental/Lease Verification**

Is the proposed business activity permitted by the property owner?  
Yes

Additional Findings  
Lease agreement attached

**Contact Information**

Name (b)(6) Date Contacted 11/30/2021  
Organization  
J. Walter Michel Agency, Inc.  
Job Title  
Assistant

Phone Type	Phone Number	Remarks
Business	(b)(6)	

Attachment(s):  
Dixie Precision Rifles LLC Lease Agreement.pdf

**Zoning Information Verification**

Is the proposed business activity in compliance with zoning?  
Yes

Additional Findings  
No zoning restrictions per zoning administrator

**Contact Information**

Name (b)(6) Date Contacted 07/09/2021  
Organization  
Community Development

Phone Type	Phone Number	Remarks
Business	(b)(6)	

Attachment(s):

**APPOINTMENT DETAILS**

Interview Date  
08/17/2021

Address  
129 FAIRMONT PLAZA, Unit N/A, PEARL, Mississippi 39208

Remarks  
Inspection deferred due to RP Alton Britt being diagnosed with Covid and then passing away on 07/15/21

**Responsible Attendee(s)**

**Non-Responsible Person(s)**

(b)(6) (Deactivated)  
(b)(6)  
JAMES ROBERT PRICE

(Deactivated)  
(Deactivated)

**RESPONSIBLE PERSON(S)**

(b)(6)  
(Deactivated)

Name (b)(6) Gender Race Ethnicity  
Date of Birth (b)(6) SSN Job Title  
PARTNER

**Physical Identifiers**

Height Weight Hair Color Eye Color

**Place of Birth**

Country United States Of America State Mississippi City

**Home Address**

(b)(6)

**Additional Names**

**Citizenship**

United States

Phone Type	Phone Number	Remarks
Mobile	(b)(6)	

Email Address	Email Remarks
(b)(6)	

**Criminal History Check**

Date Criminal History Check Conducted  
07/09/2021

Criminal History Check Comments  
No prohibiting information disclosed

(b)(6)

Name (b)(6) Gender Female Race White Ethnicity Not Hispanic or Not Latino  
Date of Birth (b)(6) SSN Job Title Member/MANAGER

**Physical Identifiers**

Height Weight Hair Color Eye Color  
(b)(6)

**Place of Birth**

Country United States Of America State (b)(6) City

**Home Address**

(b)(6)

**Additional Names**

**Citizenship**

United States

ID Type	Country	State	ID Number
Driver's License	United States	Mississippi	(b)(6)

Phone Type	Phone Number	Remarks
Mobile	(b)(6)	

Email Address	Email Remarks
(b)(6)	

**Criminal History Check**

Date Criminal History Check Conducted  
07/09/2021

Criminal History Check Comments  
No prohibiting information disclosed

**JAMES ROBERT PRICE**

Name	Gender	Race	Ethnicity
JAMES ROBERT PRICE	Male		
Date of Birth	SSN	Job Title	
(b)(6)	(b)(6)	MANAGER	

**Physical Identifiers**

Height Weight Hair Color Eye Color

**Place of Birth**

Country United States Of America State (b)(6) City

**Home Address**

(b)(6)

**Additional Names**

**Citizenship**

United States

Phone Type	Phone Number	Remarks
Mobile	(b)(6)	

**Criminal History Check**

Date Criminal History Check Conducted  
07/09/2021

Criminal History Check Comments  
No prohibiting information disclosed

**NON-RESPONSIBLE PERSON(S)**

Name	Date of Birth	Job Title
		Manager

Name	Date of Birth	Job Title
		Employee

**INTERVIEW QUESTIONNAIRE**

What is the proposed business activity?

The licensee manufactures firearms with a focus on long guns and suppressors. The licensee sells long guns, handguns and silencers. The licensee also conducts gunsmithing services. (b)(3)(26 USC § 6103) However, the licensee has not had (b)(3)(26 USC § 6103)

Do they need an additional license or permit?  
No

Who are their primary suppliers?

The licensee typically manufactures long guns and may special order from various licensees and conduct firearms transfers.

**Business Activities**

Selected Operational Security Measures

(b)(3)(112 Public Law 85 125 Stat 552)(b)(6)

Controlled Access to Keys

Retail  
Gunsmith

**PREMISES INFORMATION**

Inspection Area Description

The business premises is a commercial store front/office building/warehouse located at 129 Fairmont Plaza; Pearl, MS 39208 in urban Rankin County Ms.

Primary Activity  
Manufacturing

Selected Physical Security Measures

**GPS Coordinates**

Latitude  
32.17566

Longitude  
-090.71394

Alarm Monitoring/Other Signage  
Deadbolts  
Floodlights  
Safe(s)/Vault(s)  
Security Cameras  
Local Audible Alarm  
Window Film/Tinting/Mirroring

**INTERVIEW NOTES**

On August 18, 2021, IOI (b)(6) confirmed with (b)(3)(26 USC § 6103) that the licensee has not had (b)(3)(26 USC § 6103)

**ONSITE SUMMARY**

Total Number of ATF Form 4473s for Inspection Review Period

Total Number of ATF F 4473 Reviewed

Total Number of Open Dispositions in A & D Record

Total Number of Firearms in Inventory  
105

Actual Number of Firearms Verified

Number of Firearms Missing Before Reconciliation

Total Number of Firearms Missing After Reconciliation

Total Number of Acquisitions in the Last 12 Months

(b)(4)

Total Number of Dispositions in the Last 12 Months

(b)(4)

Onsite Start Date

Onsite End Date

Number of Reported Lost/Stolen Firearms During Inspection Period

Total Number of Traces During Inspection Review Period

Total Number of Those Traces That Were Unresolved

Inspection Period Start Date

Inspection Period End Date

Number Of Traces Resolved By IOI

[Click Here to See List of Perfected Traces](#)

(b)(3)(26 USC § 6103)

AFMER Verified

Yes

NFA Documents Verified

(b)(3)(26 USC § 6103)

Additional Comments

This was a DE Firearms Compliance RECALL Inspection for a Type 07 "Manufacturer" of Firearms. Period of Inspection: August 25, 2018 to August 17, 2021. The onsite inspection dates were August 17-18, 2021 and September 7-8, 2021.

There were multiple violations that were "repeat" violations that were cited during previous compliance inspections from 2015, 2016 and 2018. In addition, based on current cited violations, there has been no change in the behavior or daily business operations, going back to the compliance inspection in 2018; that could be considered as showing any improvement. During this inspection, the licensee was nonchalant during the review of the records and inventory and regarding business operations when asked about missing firearms, ATF F 4473s, or un-registered silencers. The licensee exhibited an interest in informing IOI (b)(6) of potential changes that she would like to make moving forward during IOI (b)(6) closing conference where the ROV was served, explained, and instructions going forward were provided to her.

IOI (b)(6) and SIOI (b)(6) conducted the onsite inspection with (b)(6) Responsible Person, and (b)(6) Manager.

(b)(6) is the primary employee responsible for maintaining firearm inventory, Acquisition and Disposition Records, completing ATF Forms 4473, initiating background checks, and transferring firearms. (b)(6), Responsible Person, also conducts the functions listed above in addition to all primary business decisions etc. (b)(6) is the business's on-site gunsmith. Mr. Alton Britt, Responsible Person (deceased) was the owner, primary manufacturer and gunsmith. (b)(6) and (b)(6) are the primary individuals with access to ATF records and keys. IOI (b)(6) verified that at the time of the inspection onsite date, there was no current open case for this licensee in NFORCE.

Licensee is not located within a school zone and licensee is in full compliance with the Youth Handgun Safety Act.

Over the course of the inspection period, the licensee had approximately (b)(4) dispositions. Approximately Ninety-eight (98%) of the firearms disposed were long guns and Two (2%) were handguns and/or suppressors. The licensee does not conduct internet sells and does not participate in gun shows. The licensee does occasionally engage in third party transfers.

The licensee has (b)(3) active variance (b)(3) (112 Public Law 55 125 Stat 552) (see attached).

The licensee did not have any theft/loss incidents reported during the inspection period. There was a theft/loss report filed as a result of this inspection (b)(6) firearms were missing after Inventory Reconciliation. SIOI (b)(6) assisted the licensee in completing a theft/loss report and ensuring firearms were logged out of the A&D record as missing. The theft/loss report was filed on September 8, 2021. ATF Issued Incident Number: (b)(3)(112 Public Law 55 125 Stat 552) (see attached).

Initially, (b)(6) firearms were found to missing and reported on September 8, 2021. Before the completion of the inspection, an additional A&D book was found that had (b)(6) open spaces. After a search, the (b)(6) firearms were not located. IOI (b)(6) contacted NTC and due to the inspection not being closed, NTC stated that the additional firearms could be added to the original theft/loss report. IOI (b)(6) informed the licensee that the firearms would be added to the original report and submitted to NTC. IOI (b)(6) submitted the amendment to the NTC on November 8, 2021 (see email). IOI (b)(6) provided the licensee with the updated theft/loss report for their records on November 9, 2021. SIOI (b)(6) assisted the licensee with logging the additional missing firearms out of the A&D record. IOI (b)(6) also hand delivered a copy of the updated theft/loss report to the Pearl Police Department after the closing on November 9, 2021.

Per Area Supervisor (b)(6), IOI (b)(6) obtained AFMER for 2020 but did not cite violation for not completing due to COVID. 2017 AFMER was previously completed during the August 24, 2018 inspection. However, the form was incomplete. Corrected form acquired and is in attachments.

The licensee utilizes paper A&D records.

Per the Violent Crime Analysis Branch (VCAB) for Dixie Precision Rifles LLC, there was (b)(6) trace associated with this licensee during the inspection period. The licensee did not respond timely to the requested trace, however, the trace was resolved by the licensee prior to the onsite inspection start date (see violation and attachment). The VCAB report did not list any multiple sales during the inspection period. However, during the inspection, it was found that the licensee failed to prepare a Report of Multiple Sale or Other Disposition of Pistols and Revolvers. IOI (b)(6) assisted the licensee in preparing and submitting the report (see attached).

IOI (b)(6) obtained an audit log for the licensee. There were zero (0) NTNs on the audit log therefore, no NTNS were verified. There were zero (0) denials on the audit log.

SIOI (b)(6) conducted a secondary market of all the used firearms in the licensee's inventory. The review of twenty (20) firearms resulted in zero (0) hits. All of the secondary market firearms in inventory were for gunsmithing purposes.

The licensee last held (b)(3)(26 USC § 6103) items. IOI (b)(6) and SIOI (b)(6) discovered (b)(3)(26 USC § 6103), (b)(6) (b)(6)

(b)(3)(26 USC § 6103)

IOI (b)(6) asked (b)(6) about documentation regarding registration with the Directorate of Defense Trade Controls (DDTC). (b)(6) stated that she did not know where her paperwork regarding registration was located. IOI (b)(6) instructed (b)(6) to contact DDTC and the Tax and Trade Bureau regarding any registration and payment of potential fees and taxes.

There were ten (10) Ten JWIN queries conducted with no prohibiting information disclosed.

Suspicious Activity Report (SAR)-1168 was generated on September 13, 2021. Area Supervisor (b)(6) emailed SAR-1168 to Group Supervisor (b)(6) of the New Orleans Crime Gun Intelligence Group (CGIC) on September 15, 2021 (see attached). Special Agent (b)(6) and Resident Agent In Charge (b)(6) went to the business premises on October 13, 2021 and (b)(3)(26 USC § 6103)

Attachment(s)

Report of Violations.pdf

Report of Violations.pdf

Report of Violations.pdf

**REPORT OF VIOLATIONS**

Regulation	Corrective Actions	Instance Details
<p>27 CFR 478.25a: Failure to respond to firearm trace requests (within 24 hours)</p> <p>1</p> <p>Number of Instances: <input type="text"/></p>	<p>Provide response to all future ATF trace requests within required 24 hours.</p>	<p><b>(b)(3) (112 Public Law 55 125 Stat 552)</b></p>
<p>27 CFR 478.102(a): Failure to complete a NICS/POC background check</p> <p>ATF Forms 4473: 2</p> <p>Number of Instances: 2</p> <p>2</p>	<p>Execute a required NICS/POC background check for all future over-the-counter firearm transactions.</p>	<p>NICS Violation, <input type="text" value="(b)(6)"/></p> <p>NICS Violation, <input type="text" value="(b)(6)"/> 5/21/2021</p>
<p>27 CFR 478.124(a): Failure to execute an ATF F 4473</p> <p>ATF Forms 4473: <input type="text"/></p> <p>Number of Instances: <input type="text"/></p> <p>3</p>	<p>Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions.</p>	<p><b>(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)</b></p>
<p>27 CFR 478.126a: Failure to report multiple sales or other dispositions of pistols and revolvers</p> <p>ATF Forms 4473: <input type="text"/></p> <p>Number of Instances: <input type="text"/></p> <p>4</p>	<p>The licensee completed and submitted ATF F 3310.4 for the Multiple Sales per IOI <input type="text" value="(b)(6)"/></p> <p>Complete and submit ATF Form 3310.4 (Report of Multiple Sale or Other Disposition of Pistols and Revolvers) by close of the same business day, for all applicable future multiple sales.</p>	<p><b>(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)</b></p>
<p>27 CFR 478.99(a): Unlawful sale or delivery of a handgun to an out of state resident and/or transfer of a long gun in violation of state law</p> <p>ATF Forms 4473: <input type="text"/></p> <p>Number of Instances: <input type="text"/></p> <p>5</p>	<p>Cease and desist all firearm transfers (including lower receivers) other than a rifle or shotgun, to residents of another state.</p>	<p><b>(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)</b></p>
<p>27 CFR 478.21(a): Failure to complete forms as prescribed</p> <p>ATF Forms 4473: <input type="text"/></p> <p>Number of Instances: <input type="text"/></p>	<p>Complete all forms as prescribed.</p> <p>Ensure that all ATF Form 4473 items, as required by form headings and instructions, are accurately completed on all future transactions.</p> <p>Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions.</p> <p>Ensure that the transferee/buyer provides required signature and date, on ATF Form 4473 Section C, for all transactions taking place on a date different from when Section A was certified.</p> <p>Ensure that the required transferor/seller printed name and title is obtained, validated and accurately recorded on all future ATF Forms 4473, Section D.</p> <p>Ensure that the most up-to-date version of the ATF Form 4473 is used for all future over-the-counter transactions.</p> <p>Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions.</p>	<p><b>(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)</b></p>

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

7

(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)

(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(3)(26 USC § 6103)**

8

(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)

(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(3)(26 USC § 6103)**

<p>9</p> <p>(b)(3)(26 USC § 6103), (b)(3) (112 Public Law 55 125 Stat 552)</p>	<p>(b)(3)(26 USC § 6103), (b)(3) (112 Public Law 55 125 Stat 552), (b)(6)</p>	<p>(b)(3) (112 Public Law 55 125 Stat 552), (b)(3)(26 USC § 6103)</p>
<p>10</p> <p>(b)(3)(26 USC § 6103), (b)(3) (112 Public Law 55 125 Stat 552)</p>	<p>(b)(3)(26 USC § 6103), (b)(3) (112 Public Law 55 125 Stat 552)</p>	<p>(b)(3) (112 Public Law 55 125 Stat 552)</p>
<p>11</p> <p>27 CFR 478.123(d): Failure to maintain an accurate/complete/timely nonlicensee disposition record</p> <p>Number of Instances: <input type="text"/></p>	<p>Licensee submitted ATF F 3310.11 on 9/18/21 <small>(b)(3)(112 Public Law 55 125 Stat 552)</small></p> <p>Accurately, completely &amp; timely record all required future firearm disposition information. Complete and submit ATF Form 3310.11 - Firearms Inventory Theft/Loss Report.</p>	<p>(b)(3) (112 Public Law 55 125 Stat 552)</p>
<p>12</p> <p>27 CFR 478.123(a): Failure to maintain an accurate/complete/timely manufacture or acquisition record</p> <p>Number of Instances: <input type="text"/></p>	<p>Accurately, completely &amp; timely record all required future firearm acquisition information.</p>	<p>(b)(3) (112 Public Law 55 125 Stat 552)</p>
<p>27 CFR 478.124(c)(1): Failure to obtain a completed ATF F 4473</p> <p>ATF Forms 4473 <input type="text"/></p> <p>Number of Instances: <input type="text"/></p>	<p>Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions.</p> <p>Ensure that the transferee/buyer provides required signature and date, on ATF Form 4473 Section C, for all transactions taking place on a date different from when Section A was certified.</p>	<p>(b)(3) (112 Public Law 55 125 Stat 552), (b)(6)</p>

13

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

UNCLASSIFIED

14

27 CFR 478.124(c)(3)(i): Failure to verify or record Identification document on ATF F 4473

Ensure that all required transferee/buyer identification information is obtained and accurately recorded on all future ATF Forms 4473, Section B.

ATF Forms 4473:   
Number of Instances:

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

UNCLASSIFIED

27 CFR 478.124(c)(3)(iv): Failure to record NICS contact information on an ATF F 4473

Ensure that all required NICS/POC background check information is obtained and accurately recorded on all future ATF Forms 4473, Section B.

ATF Forms 4473:   
Number of Instances:

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

UNCLASSIFIED

15		(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)
16	<p>27 CFR 478.131(a)(2): Failure to record on an ATF Form 4473 (or affix a copy of) NICS alternative permit info</p> <p>ATF Forms 4473: <input type="checkbox"/>  Number of Instances: <input type="checkbox"/></p>	(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)
17	<p>27 CFR 478.124(c)(4): Failure to record firearm information on an ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/>  Number of Instances: <input type="checkbox"/></p>	(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)
18	<p>27 CFR 478.124(c)(5): Failure by transferor to sign and/or date an ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/>  Number of Instances: <input type="checkbox"/></p>	(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)

**THEFT/LOSS REPORT**

Acquisition Date	Type	Manufacturer	Importer	Model	Caliber/Gauge	Serial Number
<b>(b)(3) (112 Public Law 55 125 Stat 552)</b>						

# (b)(3) (112 Public Law 55 125 Stat 552)

## LICENSEE RESPONSE REPORT

Regulation	Corrective Actions	Licensee Response	Status Details
<p>27 CFR 478.25a: Failure to respond to firearm trace requests (within 24 hours)</p> <p>Number of Instances: <input type="text"/></p>	<p>Provide response to all future ATF trace requests within required 24 hours.</p>	<p>I thought <input type="text"/> completed that <input type="text"/> you said you did that <input type="text"/> verified that he completed the trace in August.</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 11/09/2021</p>
<p>27 CFR 478.102(a): Failure to complete a NICS/POC background check</p> <p>ATF Forms 4473 <input type="text"/></p> <p>Number of Instances: <input type="text"/></p>	<p>Execute a required NICS/POC background check for all future over-the-counter firearm transactions.</p>	<p>I don't know how we didn't do a form on <input type="text"/> I don't know on <input type="text"/>.</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 11/09/2021</p>
<p>27 CFR 478.124(a): Failure to execute an ATF F 4473</p> <p>ATF Forms 4473 <input type="text"/></p> <p>Number of Instances: <input type="text"/></p>	<p>Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions.</p>	<p>I don't know what happened with <input type="text"/>...but the one with <input type="text"/>...</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 11/09/2021</p>
<p>27 CFR 478.126a: Failure to report multiple sales or other dispositions of pistols and revolvers</p> <p>ATF Forms 4473 <input type="text"/></p> <p>Number of Instances: <input type="text"/></p>	<p>The licensee completed and submitted ATF F 3310.4 for the Multiple Sales per IOI <input type="text"/>.</p> <p>Complete and submit ATF Form 3310.4 (Report of Multiple Sale or Other Disposition of Pistols and Revolvers) by close of the same business day, for all applicable future multiple sales.</p>	<p>Yeah, we missed that.</p>	<p>Status Correction Verified</p> <p>Verified Method In Person</p> <p>Date Correction Verified 09/08/2021</p>
<p>27 CFR 478.99(a): Unlawful sale or delivery of a handgun to an out of state resident and/or transfer of a long gun in violation of state law</p> <p>ATF Forms 4473 <input type="text"/></p> <p>Number of Instances: <input type="text"/></p>	<p>Cease and desist all firearm transfers (including lower receivers) other than a rifle or shotgun, to residents of another state.</p>	<p>Are you sure? Did we sell him the action or the rifle? We messed up on that.</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 11/09/2021</p>
<p>27 CFR 478.21(a): Failure to complete forms as prescribed</p> <p>ATF Forms 4473 <input type="text"/></p> <p>Number of Instances: <input type="text"/></p>	<p>Complete all forms as prescribed.</p> <p>Ensure that all ATF Form 4473 items, as required by form headings and instructions, are accurately completed on all future transactions.</p>	<p>We need to try to put a system in place for review. I didn't even know that we had those old forms.</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p>

6		<p>Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions.</p> <p>Ensure that the transferee/buyer provides required signature and date, on ATF Form 4473 Section C, for all transactions taking place on a date different from when Section A was certified.</p> <p>Ensure that the required transferor/seller printed name and title is obtained, validated and accurately recorded on all future ATF Forms 4473, Section D.</p> <p>Ensure that the most up-to-date version of the ATF Form 4473 is used for all future over-the-counter transactions.</p> <p>Execute and retain a complete and accurate ATF Form 4473 on all future firearm transactions.</p>		Date Licensee Notified 11/09/2021
7	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)	Status Licensee Notified  Verified Method In Person  Date Licensee Notified 11/09/2021
8	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)	Status Licensee Notified  Verified Method In Person  Date Licensee Notified 11/09/2021
9	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)	Status Licensee Notified  Verified Method In Person  Date Licensee Notified 11/09/2021
10	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)	(b)(3)(26 USC § 6103) ,(b)(3) (112 Public Law 55 125 Stat 552)	Status Licensee Notified  Verified Method In Person  Date Licensee Notified 11/09/2021
11	<p>27 CFR 478.123(d): Failure to maintain an accurate/complete/timely nonlicensee disposition record</p> <p>Number of Instances: <input type="text"/></p>	<p>Licensee submitted ATF F 3310.11 on 9/18/21 (b)(3)(112 Public Law 55 125 Stat 552).</p> <p>Accurately, completely &amp; timely record all required future firearm disposition information.</p> <p>Complete and submit ATF Form 3310.11 - Firearms Inventory Theft/Loss Report.</p>	We can get better at that.	Status Licensee Notified  Verified Method Email  Date Licensee Notified 01/21/2022
12	<p>27 CFR 478.123(a): Failure to maintain an accurate/complete/timely manufacture or acquisition record</p> <p>Number of Instances: <input type="text"/></p>	<p>Accurately, completely &amp; timely record all required future firearm acquisition information.</p>	We can get better at that. We need a system.	Status Licensee Notified  Verified Method In Person  Date Licensee Notified 11/09/2021

13	<p>27 CFR 478.124(c)(1): Failure to obtain a completed ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/>  Number of Instances: <input type="checkbox"/></p>	<p>Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions.</p> <p>Ensure that the transferee/buyer provides required signature and date, on ATF Form 4473 Section C, for all transactions taking place on a date different from when Section A was certified.</p>	<p>Yeah, we need to do a review....pass through more than one person.</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 11/09/2021</p>
14	<p>27 CFR 478.124(c)(3)(i): Failure to verify or record Identification document on ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/>  Number of Instances: <input type="checkbox"/></p>	<p>Ensure that all required transferee/buyer identification information is obtained and accurately recorded on all future ATF Forms 4473, Section B.</p>	<p>We even messed up on <input type="checkbox"/>.</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 11/09/2021</p>
15	<p>27 CFR 478.124(c)(3)(iv): Failure to record NICS contact information on an ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/>  Number of Instances: <input type="checkbox"/></p>	<p>Ensure that all required NICS/POC background check information is obtained and accurately recorded on all future ATF Forms 4473, Section B.</p>	<p>We can look into eCheck and having the page printed for review.</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 11/09/2021</p>
16	<p>27 CFR 478.131(a)(2): Failure to record on an ATF Form 4473 (or affix a copy of) NICS alternative permit info</p> <p>ATF Forms 4473: <input type="checkbox"/>  Number of Instances: <input type="checkbox"/></p>	<p>Ensure that a qualifying NICS alternative permit is properly obtained, validated, verified, and documented for all applicable future over-the-counter firearm transactions.</p>	<p>I've got to wear my glasses.</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 11/09/2021</p>
17	<p>27 CFR 478.124(c)(4): Failure to record firearm information on an ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/>  Number of Instances: <input type="checkbox"/></p>	<p>Ensure that all required firearm identification information is obtained and accurately recorded on all future ATF Forms 4473, Section D.</p>	<p>We just need to review after completing.</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 11/09/2021</p>
18	<p>27 CFR 478.124(c)(5): Failure by transferor to sign and/or date an ATF F 4473</p> <p>ATF Forms 4473: <input type="checkbox"/>  Number of Instances: <input type="checkbox"/></p>	<p>Ensure that the required transferor/seller signature and date of transfer is obtained, validated and accurately recorded on all future ATF Forms 4473, Section D.</p>	<p>No excuse. We just need to do a review.</p>	<p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 11/09/2021</p>

**RECORD(S) OF CONVERSATION**

Name	When Contacted	Method of Contact
	01/21/2022	Phone

Investigative Participant(s)  
No items

Narrative  
Attempted to contact Responsible Person (b)(6) regarding Amended ROV. Called business premises at 601-936-4200. The phone number is no longer in service. Contacted (b)(6) at her mobile (b)(6) and left her a message regarding the amended report of violations. Sent email to RP with amended ROV.

**CLOSING CONFERENCE**

Review Regulations conducted offline

( 11/9/2021 )  
11/09/2021

Closing Conference Additional Notes

IOI (b)(6) and SIO (b)(6) conducted a closing conference on November 9, 2021. RP (b)(6) and Manager (b)(6) participated in the closing conference. Gunsmith, (b)(6) was also present but did not actively participate.

IOI (b)(6) and SIO (b)(6) discussed with (b)(6) and (b)(6) specific internal controls that will help ensure that entries are accurate and timely input into required records. They were reminded about timely complete required forms i.e. Report of Multiple Sale or Other Disposition of Pistols and Revolvers and Inventory Theft/Loss Report.

An overview of standard operating procedures regarding firearms manufacturing activity to include any marking requirements under 27 CFR 478.92 and firearms transaction A & D requirements under 27 CFR 478.123 were provided by IOI (b)(6).

(b)(6) was instructed on the necessary record keeping requirements with regard (b)(3)(26 USC § 6103) (b)(3)(26 USC § 6103) has also provided to (b)(6).

IOI (b)(6) and SIO (b)(6) recommended that routine inventories and records review be conducted to ensure that all inventory is accounted for and is correctly reflected in the A&D record. IOI (b)(6) discussed the importance of safeguarding inventory from theft or loss.

The licensee was instructed to contact the Directorate of Defense Trade Controls (DDTC) for any additional registration requirements the manufacturing business may require. IOI (b)(6) provided the licensee with a copy of the Applicability of the ITAR Registration Requirement to Firearms Manufacturers and Gunsmiths document.

The licensee was made aware of the Firearms and Ammunition Excise Tax (FAET) and how to contact the Tax and Trade Bureau (TTB) by acknowledging it on the acknowledgement form. The licensee is aware of the requirement to file the Annual Firearms Manufacturing and Exportation Report (AFMER).

IOI (b)(6) informed the licensee that the following forms/publications were available to download or request by mail via www.atf.gov. (<https://www.atf.gov/resource-center/publications-library>).

- Electronic Copy of ATF Publication 5300.4- Federal Firearms Regulation Guide (2014)
- ATF Form 4473- Firearms Transaction Record (May 2020).
- ATF Form 3310.4- Report of Multiple Sale or Other Dispositions: Pistols & Revolvers (April 2019)
- ATF Form 3310.11- Federal Firearms Licensee Firearms Inventory Theft/Loss Report (Oct 2020)
- ATF I 5300.1- Youth Handgun Safety Act Poster (2017)- VIA DISTRIBUTION CENTER
- ATF I 5300.2- Youth Handgun Safety Act Pamphlet (July 2017)
- ATF Form 5300.38- Application for an Amended Federal Firearms License (August 2016)
- ATF P 5380.1- Loss Prevention for Firearms Retailers (January 2016)
- ATF P 5380.2- How to Conduct a Firearms Inventory (July 2016)
- ATF P 3317.2- Safety and Security Information for FFLs (June 2021) ([www.atf.gov](http://www.atf.gov))
- ATF P 3317.7- Disaster Preparedness for FFLs (June 2021)
- Application for an Amended Federal Firearms License - ATF 5300.38
- Annual Firearms Manufacturing & Exportation Report – ATF F 5300.11
- General Information (NFA) – ATF 5320.8
- Special Occupational Tax – ATF F 5630.7
- Acknowledgement of Federal Firearms Regulations

IOI (b)(6) informed the applicant/licensee that published ATF Rulings concerning Firearms Regulations are available for viewing and download via: <https://www.atf.gov/rules-and-regulations/firearms-rulings>.

Attachment(s)

**Attendee(s)**

(b)(6)

**EXHIBITS**

**Inspection**

Category	Attachment Name
Correspondence	Spartan Notification RE: 1-64-02616 Inspection Results
Correspondence	Spartan Notification RE: 1-64-02616 Inspection Results
Correspondence	Spartan Notification RE: 1-64-02616 Monitored Case
Correspondence	Spartan Notification RE: 1-64-02616 Monitored Case
Correspondence	Spartan Notification RE: 1-64-02616 Monitored Case
UpdateLicensingCenter	NOR (Final) Dixie Precision (signed mailed 3-29-2022).pdf
Correspondence	Spartan Notification RE: 1-64-02616 Monitored Case
UpdateLicensingCenter	NOR - Dixie Precisions Rifle (mailed 3-4-22).pdf
Correspondence	Spartan Notification RE: 1-64-02616 Monitored Case
Correspondence	Spartan Notification RE: 1-64-02616 Monitored Case
Correspondence	Spartan Notification RE: 1-64-02616 Monitored Case

Correspondence	Spartan Notification RE: 1-64-02616 Monitored Case
Correspondence	Spartan Notification RE: 1-64-02616 Monitored Case
Correspondence	Spartan Notification RE: 1-64-02616 Monitored Case
UpdateLicensingCenter	Exhibit 8C (Initial)- Dixie Precision Rifles LLC - FCI-15995 2-16-22.docx
LicenseeResponseToViolationsPDF	Licensee Response to Violations Report.pdf
Correspondence	
Correspondence	Information Concerning Your Federal License/Permit
ViolationsPDF	Report of Violations.pdf
LicenseeResponseToViolationsPDF	Licensee Response to Violations Report.pdf
BusinessVerification	Updated SOS-Dixie Precision Rifles LLC 11-17-21.pdf
ViolationsPDF	Report of Violations.pdf
ViolationsPDF	Report of Violations.pdf
FirearmTheftLossList	Firearm Theft Loss List.pdf
BusinessVerification	Updated-Dixie Precision Rifles LLC SOS Information.pdf
BusinessVerification	Dixie Precision Rifles LLC SOS.pdf
RentalLeaseVerification	Dixie Precision Rifles LLC Lease Agreement.pdf
OnSiteSummaryInformation	1-64-02616 2020 AFMER-Filed.pdf
OnSiteSummaryInformation	1-64-02616 2017 AFMER-Refiled.pdf

**License**

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Category	Attachment Name
Correspondence	Spartan Notification RE: 1-64-02616 National Licensing Center
UpdateLicensingCenter	NOR (Final) Dixie Precision (signed mailed 3-29-2022).pdf

**SAR-1168**

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Category	Attachment Name
Correspondence	Industry Operations Report of Suspicious Activity(SAR-1168)

## Notice to Revoke or Suspend License and/or Impose a Civil Fine

In the matter of License Number 1-64-121-07-0A-02616, as a/an  
manufacturer of firearms issued to:

Name and Address of Licensee (Show number, street, city, State and ZIP Code)  
Dixie Precisions Rifles, LLC  
129 Fairmont Plaza  
Pearl, Mississippi 39208

### Notice Is Hereby Given That:

Pursuant to the statutory provisions and reasons stated in the attached page(s), the Director or his/her designee, Bureau of Alcohol, Tobacco, Firearms and Explosives, intends to take action on the license described above.

- The above identified license may be revoked pursuant to 18 U.S.C. 923(e), 922(t)(5) or 924(p).
- The above identified license may be suspended pursuant to 18 U.S.C. 922(t)(5) or 924(p).
- The above identified licensee may be fined pursuant to 18 U.S.C. 922(t)(5) or 924(p).

Pursuant to U.S.C. 923(f)(2) and/or 922(t)(5), you may file a request with the Director of Industry Operations, Bureau of Alcohol, Tobacco, Firearms and Explosives, at 1 Galleria Boulevard, Suite 1700, Metairie, LA 70001, in duplicate, for a hearing to review the revocation, suspension and/or fine of your license. The request must be received at the above address within 15 days of your receipt of this notice. Where a timely request for a hearing is made, the license shall remain in effect pending the outcome of the hearing; and if the license is due to expire, the license will remain in effect provided a timely application for renewal is also filed. The hearing will be held as provided in 27 CFR Part 478.

If you do not request a hearing, or your request for a hearing is not received by ATF on time, a final notice of revocation, suspension, and/or imposition of civil fine (ATF Form 5300.13) shall be issued.

- Please see included brochure

Date	Name and Title of Bureau of Alcohol, Tobacco, Firearms and Explosives Official	Signature
03/03/2022	Valentina Close, Director, Industry Operations, New Orleans Field Division	VALENTINA CLOSE <small>Digitally signed by VALENTINA CLOSE Date: 2022.03.03 19:54:37 -0600</small>

I certify that on the date shown below I served the above notice on the person identified below by:

- Certified mail to the address shown below.  
Tracking Number: 7020 1290 0000 6958 5648  Delivering a copy of the notice to the address shown below.

Date Notice Served	Title of Person Serving Notice	Signature of Person Serving Notice
03/04/2022	Senior Operations Officer	(b)(6)
Print Name and Title of Person Served		Signature of Person Served (if applicable)
Dixie Precision Rifles, LLC		

Address Where Notice Served  
129 Fairmont Plaza Pearl, Mississippi 39208

Under the provisions of 18 U.S.C. § 923(e) and 27 C.F.R. § 478.73, notice is hereby given that that the Bureau of Alcohol, Tobacco, Firearms and Explosives (“ATF”) intends to revoke the Federal firearms license held by Dixie Precision Rifles, LLC (“Licensee”), a manufacturer of Firearms. Specifically, the Director, Industry Operations, United States Department of Justice, ATF, New Orleans Field Division, has reason to believe that Licensee willfully violated the provisions of the Gun Control Act of 1968, as amended, 18 U.S.C. Chapter 44, and the regulations issued thereunder, 27 C.F.R. Part 478 (collectively, “GCA”) as described herein.

### **Compliance History**

ATF first issued a Federal firearms license to Licensee on December 28, 2010. The laws and regulations issued under the GCA were reviewed as part of the application inspection. Subsequently, ATF conducted compliance inspections of Licensee in 2015, 2016, 2018 and 2021. As a result of the 2016 and 2018 compliance inspections, Licensee received Warning Letters which advised that future violations could be viewed as willful and result in revocation of the license. As a result of the 2015 compliance inspection, Licensee attended a Warning Conference and received a follow up letter. The follow up letter Licensee received reviewed violations reported after this inspection, Licensee’s proposed corrective actions and noted that future violations, either repeat or otherwise, could be viewed as willful and may result in revocation of the license.

### **Current Inspection**

In 2021, ATF began a compliance inspection of Licensee’s premises that revealed the following:

1. On [redacted] occasion, Licensee willfully sold or delivered a firearm other than a rifle or a shotgun to any person not licensed by the GCA and who Licensee knows or has reasonable cause to believe does not reside in the state in which Licensee’s place of business or activity is located, in violation of 18 U.S.C. § 922(b)(3) and 27 C.F.R. § 478.99(a) when transferring a firearm to [redacted (b)(6)] on July 15, 2019.
2. On [redacted] occasions, Licensee willfully failed to record the disposition of firearms, in violation of 18 U.S.C. § 923(g)(1)(A) and 478.123(d). See Appendix A.
3. On [redacted] occasions, Licensee willfully failed to record the acquisition of firearms, in violation of 18 U.S.C. § 923(g)(1)(A) and 478.123(a). See Appendix B.
4. On two occasions, Licensee willfully transferred a firearm to an unlicensed person without first contacting the National Instant Criminal Background Check System (“NICS”) and obtaining a unique identification number and/or waiting three days before allowing the transfer, in violation of 18 U.S.C. § 922(t) and 27 C.F.R. § 478.102 when transferring firearms to [redacted (b)(6)] prior to the beginning of the 2021 inspection and [redacted (b)(6)] on May 21, 2021.

5. On June 6, 2021, Licensee willfully failed to respond within 24 hours to a request from the National Tracing Center for information regarding the disposition of firearms relative to a criminal investigation, in violation of 18 U.S.C. § 923(g)(7) and 27 C.F.R. 478.25a.
6. On [ ] occasions, Licensee willfully sold or otherwise disposed of firearms to non-licensed persons without recording the transaction on a Firearms Transaction Record, ATF Form 4473, in violation of 18 U.S.C. § 923(g)(1)(A) and 27 C.F.R. § 478.124(a) when transferring firearms to [ (b)(6) ] prior to the beginning of the 2021 inspection and [ (b)(6) ] on June 8, 2017.
7. On May 21, 2021, when transferring a firearm to [ (b)(6) ], Licensee willfully failed to timely and/or accurately report the sale or other disposition of two or more pistols and/or revolvers during any five consecutive business days to an unlicensed person, in violation of 18 U.S.C. § 923(g)(3)(A) and 27 C.F.R. § 478.126a.
8. On [ ] occasions documented on 61 ATF Forms 4473, Licensee willfully failed to obtain/execute the Firearms Transaction Record, ATF Form 4473 as indicated by the headings on the Form and the instructions on or pertaining to the Form, in violation of 18 U.S.C. § 922(m) and 27 C.F.R. § 478.21(a). See Appendix C.
9. On [ ] occasions documented on [ ] ATF Forms 4473, Licensee willfully failed to obtain a complete and/or accurate Firearms Transaction Record, ATF Form 4473, from the transferee prior to making an over-the-counter transfer of a firearm to a non-licensee, in violation of 18 U.S.C. § 923(g)(1)(A) and 27 C.F.R. § 478.124(c)(1). See Appendix D.
10. On [ ] occasions, Licensee willfully transferred a firearm to a non-licensee without verifying the identity of the transferee by examining the identification document presented and noting the type on a Firearms Transaction Record, ATF Form 4473, in violation of 18 U.S.C. § 923(g)(1)(A) and 27 C.F.R. § 478.124(c)(3)(i). See Appendix E.
11. On [ ] occasions documented on [ ] ATF Forms 4473, Licensee willfully transferred a firearm to a non-licensee without recording the date the Licensee contacted the National Instant Criminal Background Check System ("NICS"), any response provided by the system and/or any identification number provided by the system information on the Firearms Transaction Record, ATF Form 4473, in violation of 18 U.S.C. § 923(g)(1)(A) and 27 C.F.R. § 478.124(c)(3)(iv). See Appendix F.
12. On [ ] occasions, Licensee willfully failed to record NICS alternative permit/license information as described in 27 C.F.R. § 478.102(d)(1) on the Firearms Transaction Record, ATF Form 4473, or maintain a copy of the permit/license, in violation of 18 U.S.C. § 923(g)(1)(A) and 27 C.F.R. § 478.131(a)(2). See Appendix G.
13. On [ ] occasions documented on six ATF Forms 4473, Licensee willfully failed to completely and/or accurately identify the firearm to be transferred on the Firearms

Transaction Record, ATF Form 4473, in violation of 18 U.S.C. § 923(g)(1)(A) and 27 C.F.R. § 478.124(c)(4). See Appendix H.

14. On  occasions documented on  ATF Forms 4473, Licensee willfully failed to sign and/or date the Firearms Transaction Record, ATF Form 4473 certifying that Licensee does not know or have reason to believe the transferee is disqualified by law from receiving the firearm described on the Form, in violation of 18 U.S.C. § 923(g)(1)(A) and 27 C.F.R. § 478.124(c)(5). See Appendix I.

**Appendix A**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**Appendix B**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**Appendix C**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**Appendix D**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

(b)(6),(b)(7)(C)

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**Appendix E**

**(b)(6),(b)(7)(C)**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**Appendix F**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**Appendix G**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**Appendix H**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**Appendix I**

**(b)(3) (112 Public Law 55 125 Stat 552),(b)(6)**

**Final Notice of Denial of Application, Revocation  
Suspension and/or Fine of Firearms License**

In the matter of:

- The application for license as a/an \_\_\_\_\_, filed by:  
or  
 License Number 1-64-121-07-0A-02616 as a/an  
as a manufacturer of firearms \_\_\_\_\_, issued to:

Name and Address of Applicant or Licensee (Show number, street, city, state and Zip Code)  
Dixie Precision Rifles, LLC  
129 Fairmont Plaza  
Pearl, MS 39208

Notice is Hereby Given That:

- A request for hearing pursuant to 18 U.S.C. § 923(f)(2) and/or 922(t)(5) was not timely filed. Based on the findings set forth in the attached document, your  
 license described above is revoked pursuant to 18 U.S.C., 923(e), 922(t)(5) or 924(p), effective:  
 15 calendar days after receipt of this notice, or  April 25, 2022,  
 license is suspended for \_\_\_\_\_ calendar days, effective \_\_\_\_\_, pursuant to 18 U.S.C. § 922(t)(5) or 924(p).  
 licensee is fined \$ \_\_\_\_\_, payment due: \_\_\_\_\_, pursuant to 18 U.S.C. § 922(t)(5) or 924(p).
- After due consideration following a hearing held pursuant to 18 U.S.C. § 923(f)(2) and/or 922(t)(5), and on the basis of findings set out in the attached copy of the findings and conclusions, the Director or his/her designee concludes that your  
 application for license described above is denied, pursuant to 18 U.S.C., 923(d).  
 application for renewal of license described above is denied pursuant to 18 U.S.C. 923(d), effective:  
 15 calendar days after receipt of this notice, or  \_\_\_\_\_  
 license described above is revoked pursuant to 18 U.S.C., 923(e), 922(t)(5) or 924(p), effective:  
 15 calendar days after receipt of this notice, or  \_\_\_\_\_  
 license is suspended for \_\_\_\_\_ calendar days, effective \_\_\_\_\_, pursuant to 18 U.S.C. § 922(t)(5) or 924(p).  
 licensee is fined \$ \_\_\_\_\_, payment due: \_\_\_\_\_, pursuant to 18 U.S.C. § 922(t)(5) or 924(p).

If, after the hearing and receipt of these findings, you are dissatisfied with this action you may, within 60 days after receipt of this notice, file a petition pursuant 18 U.S.C. § 923(f)(3), for judicial review with the U.S. District Court for the district in which you reside or have your principal place of business. If you intend to continue operations after the effective date of this action while you pursue filing for judicial review or otherwise, you must request a stay of the action from the Director of Industry Operations (DIO), Bureau of Alcohol, Tobacco, Firearms and Explosives, at \_\_\_\_\_

prior to the effective date of the action set forth above. You may not continue licensed operations unless and until a stay is granted by the DIO.

Records prescribed under 27 CFR Part 478 for the license described above shall either be delivered to ATF within 30 days of the date the business is required to be discontinued or shall be documented to reflect delivery to a successor. See 18 U.S.C. 923(g)(4) and 27 CFR § 478.127.

After the effective date of a license denial of renewal, revocation, or suspension, you may not lawfully engage in the business of dealing in firearms. Any disposition of your firearms business inventory must comply with all applicable laws and regulations. Your local ATF office is able to assist you in understanding and implementing the options available to lawfully dispose of your firearms business inventory.

Date <b>3/29/22</b>	Name and Title of Bureau of Alcohol, Tobacco, Firearms and Explosives Official Valentina Close, Director, Industry Operations, New Orleans Field Division	Sig <b>(b)(6)</b>
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I certify that, on the date below, I served the above notice on the person identified below by:

Certified mail to the address shown below.  
Tr 7021 2720 0002 8784 7155

Delivering a copy of the notice to the address shown below.

Date Notice Served <b>3/29/2022</b>	Title of Person Serving Notice <i>Senior Operations Officer</i>	<b>(b)(6)</b>
Print Name and Title of Person Served Dixie Precision Rifles, LLC		Signature of Person Served <i>[Signature]</i>

Address Where Notice Served  
129 Fairmont Plaza, Pearl, MS 39208

Note: Previous Edition is Obsolete

Dixie Precision Rifles, LLC ("Licensee") holds a Federal firearms license #1-64-121-07-0A-02616 issued by the Bureau of Alcohol, Tobacco, Firearms and Explosives ("ATF") pursuant to the Gun Control Act of 1968 ("GCA"), as amended, 18 U.S.C. Chapter 44, and the regulations issued thereunder, 27 C.F.R. Part 478 (collectively GCA).

On March 3, 2022, ATF issued a Notice to Revoke or Suspend and/or Impose Civil Fine, ATF Form 5300.4 (4500), to Licensee. The Notice was mailed with the United States Post Service (USPS) by certified mail, return receipt requested. The USPS delivered the notice on March 9, 2022.

The Notice alleged Licensee willfully violated the provisions of the GCA. The Notice further specified that if Licensee wanted a hearing to review the notice, a request with ATF for such hearing should be filed within 15 days after receipt of the notice and in accord with 18 U.S.C. § 923(f)(2) and 27 C.F.R. § 478.73(b).

No request for hearing was timely filed or received.

For the reasons set forth in the Notice of Revocation, the Federal firearms license held Dixie Precision Rifles, LLC is hereby REVOKED.